

Multi-objective reasoning with constrained goal models

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Abstract Goal models have been widely used in computer science to represent software requirements, business objectives, and design qualities. Existing goal modelling techniques, however, have shown limitations of expressiveness and/or tractability in coping with complex real-world problems. In this work, we exploit advances in automated reasoning technologies, notably satisfiability and optimization modulo theories (SMT/OMT), and we propose and formalize: (1) an extended modelling language for goals, namely the constrained goal model (CGM), which makes explicit the notion of *goal refinement* and of *domain assumption*, allows for expressing *preferences* between goals and refinements and allows for associating *numerical attributes* to goals and refinements for defining *constraints* and *optimization goals* over multiple *objective functions*, refinements, and their numerical attributes; (2) a novel set of automated reasoning functionalities over CGMs, allowing for automatically generating suitable refinements of input CGMs, under user-specified assumptions and constraints, that also maximize preferences and optimize given objective functions. We have implemented these modelling and reasoning functionalities in a tool, named CGM-Tool, using the OMT solver OptiMathSAT as automated reasoning backend. Moreover, we have

conducted an experimental evaluation on large CGMs to support the claim that our proposal scales well for goal models with 1000s of elements.

Keywords Requirements engineering · Goal models · SAT/SMT/OMT

1 Introduction

The concept of goal has long been used as useful abstraction in many areas of computer science, for example artificial intelligence planning [29], agent-based systems [34], and knowledge management [21]. More recently, software engineering has also been using goals to model requirements for software systems, business objectives for enterprises, and design qualities [2, 3, 11, 18, 42].

Goal-oriented requirements engineering approaches have gained popularity for a number of significant benefits in conceptualizing and analysing requirements [42]. Goal models provide a broader system engineering perspective compared to traditional requirements engineering methods, a precise criterion for completeness of the requirements analysis process, and rationale for requirements specification, as well as automated support for early requirements analysis. Moreover, goal models are useful in explaining requirements to stakeholders, and goal refinements offer an accessible level of abstraction for validating choices among alternative designs.

Current goal modelling and reasoning techniques, however, have limitations with respect to expressiveness and/or scalability. Among leading approaches for goal modelling, KAOS offers a very expressive modelling language but reasoning isn't scalable (in fact, it is undecidable). i*, on the other hand, is missing constructs such as

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preferences, priorities and optimization goals. Although more recent proposals, such as *Techne* [22, 26], offer expressive extensions to goal models, they still lack some features of our proposal, notably optimization goals, and also lack scalable reasoning facilities.

As a result of these deficiencies, no goal modelling framework can express goals such as “Select which new requirements to implement for the next release, such as to optimize customer value while maintaining costs below some threshold” and be able to reason about it and generate a specification/solution for it. As another example, consider a situation where a goal model changes and a new specification/solution needs to be generated for the new goal model. In this case, the new specification may be required to fulfil the evolution goal “Minimize implementation effort” or “Maximize user familiarity by changing as little as possible the new functionality of the system relative to the old one”. (For the latter case, see also [30].) In both cases, we are dealing with requirements that are beyond the state of the art for goal modelling and reasoning. As we will discuss in Sect. 3, our proposal can accommodate such requirements with respect to both modelling and scalable reasoning.

We are interested in advancing the state of the art in goal models and reasoning by proposing a more expressive modelling language that encompasses many of the modelling constructs proposed in the literature, and at the same time offers sound, complete and tractable reasoning facilities. We are aiming for a goal modelling language in the spirit of Sebastiani et al. [36], rather than a social dependencies modelling language, such as *i**. To accomplish this, we exploit advances in automated reasoning technologies, notably *satisfiability modulo theories* (SMT) [5] and *optimization modulo theories* (OMT) [38], to propose and formalize an extended notion of goal model, namely *constrained goal model* (CGM).

CGMs treat (AND/OR) refinements as first-class citizens allowing associated constraints, such as Boolean formulas or SMT/OMT formulas. For instance, when modelling a meeting scheduling system, we may want to express the fact that, to fulfil the nice-to-have requirement of keeping the scheduling fast enough (e.g. strictly <5 h), and we cannot afford both the time-consuming tasks of performing the schedule manually (3 h) and of calling the participant one by one by phone (2 h). CGMs provide user-friendly constructs by which we can encode constraints like this, either by adding Boolean formulas on the propositions which label such requirement and tasks, or by associating to those propositions numerical variables and by adding SMT formulas encoding mixed Boolean-arithmetical constraints on those variables and propositions. (See Sect. 3.)

To the best of our knowledge, this was not possible with previous goal modelling techniques, including that in [36].

At the same time, the CGM tool we developed can cope with goal models an order of magnitude beyond what has been reported in the literature in most cases. In some cases involving optimization goals, e.g. “minimize development costs for the next release of software product S”, the CGM tool performs more modestly, but can still handle models of size in the 100s of elements.

The main contributions of this work include:

- I. An integration within one modelling framework of constructs that have been proposed in the literature in a piecemeal fashion, specifically,
 - (i) Allow for explicit labelling of goal refinements with Boolean propositions that can be interactively/automatically reasoned upon;
 - (ii) Provide an explicit representation of domain assumptions to represent preconditions to goals;
 - (iii) Allow for Boolean constraints over goals, domain assumptions, and refinements;
 - (iv) Provide a representation of preferences over goals and their refinements, by distinguishing between mandatory and nice-to-have requirements and by assigning preference weights (i.e. penalties/rewards) to goals and domain assumptions. Alternatively, preferences can be expressed explicitly by setting binary preference relations between pairs of goals and pairs of refinements;
 - (v) Assign numerical attributes (e.g. resources like cost, worktime, and room) to goals and/or refinements and define constraints and multiple objective functions over goals, refinements and their numerical attributes.
 - (vi) Define optimization goals over numerical attributes, such as cost or customer value;
- II. Fully support automated reasoning over CGMs that is both sound and complete, i.e. returns only solutions that are consistent with CGM semantics, and all such solutions;
- III. Establish that reasoning with CGM models is scalable with models including thousands of elements.

Taking advantage of CGMs’ formal semantics and the expressiveness and efficiency of current SMT and OMT solvers, we also provide a set of automated reasoning functionalities on CGMs. Specifically, on a given CGM, our approach allows for:

- (a) the automatic check of the CGM’s realizability (i.e. check whether the goal model has any solution);
- (b) the interactive/automatic search for realizations;
- (c) the automatic search for the “best” realization in terms of penalties/rewards and/or of user-defined preferences;
- (d) the automatic search for the realization(s) which optimize given objective functions.

Our approach is implemented as a tool (CGM-Tool), a stand-alone Java application based on the eclipse RCP engine. The tool offers functionalities to create CGM models as graphical diagrams and to explore alternatives scenarios running automated reasoning techniques. CGM-Tool uses the SMT/OMT solver OptiMathSAT [38–40], which is built on top of the SMT solver MATHSAT5 [8], as automated reasoning backend.¹

The structure of the paper is as follows: Sect. 2 provides a succinct account of necessary background on goal modelling and on SMT/OMT; Sect. 3 introduces the notion of CGM through an example; Sect. 4 introduces the syntax and semantics of CGMs; Sect. 5 presents the set of automated reasoning functionalities for CGMs; Sect. 6 gives a quick overview of our tool based on the presented approach; Sect. 7 provides an experimental evaluation of the performances of our tool on large CGMs, showing that the approach scales well with respect to CGM size; Sect. 8 gives overview of related work, while in Sect. 9 we draw conclusions and present future research challenges.

2 Background

Our research baseline consists of our previous work on qualitative goal models and of Satisfiability and Optimization Modulo Theories (SMT and OMT, respectively). Our aim in this section is to introduce the necessary background notions on these topics, so that the reader can follow the narrative in subsequent sections. As prerequisite knowledge, we assume only that the reader is familiar with the syntax and semantics of standard Boolean logic and of linear arithmetic over the rationals.

2.1 Goal models

Qualitative goal models are introduced in [28], where the concept of goal is used to represent, respectively, a functional and non-functional requirement in terms of a proposition. A goal can be refined by means of AND/OR refinement relationships and qualitative evidence (strong

and weak) for/against the fulfilment of a goal is provided by contribution links labelled $+$, $-$, etc. In [18], goal models are formalized by replacing each proposition g , standing for a goal, by four propositions (FS_g , PS_g , PD_g , FD_g) representing full (and partial) evidence for the satisfaction/denial of g . A traditional implication such as $(p \wedge q) \rightarrow r$ is then translated into a series of implications connecting these new symbols, including $(FS_p \wedge FS_q) \rightarrow FS_r$, $(PS_p \wedge PS_q) \rightarrow PS_r$, as well as $FD_p \rightarrow FD_r$, $FD_q \rightarrow FD_r$. The conflict between goals a and b is captured by axioms of the form $FS_a \rightarrow FD_b$, and it is consistent to have both FS_a and FD_a evaluated to true at the same time. As a result, even though the semantics of a goal model is a classical propositional theory, inconsistency does not result in everything being true. In fact, a predicate g can be assigned a subset of truth values $\{FS, PS, FD, PD\}$.

Sebastiani et al. [36] extended the approach further by including axioms for avoiding conflicts of the form $FS_a \wedge FD_a$. The approach recognized the need to formalize goal models so as to automatically evaluate the satisfiability of goals. These goal models, however, do not incorporate the notion of conflict as inconsistency; they do not include concepts other than goals, cannot distinguish “nice-to-have” from mandatory requirements and have no notion of a robust solution, i.e. solution without “conflict”, where a goal cannot be (fully or partially) denied and (respectively, fully or partially) satisfied at the same time.

2.2 Satisfiability and optimization modulo theories

Satisfiability modulo theories (SMT) is the problem of deciding the satisfiability of a quantifier-free first-order formula Φ with respect to some decidable theory \mathcal{T} (see [5, 35]). In this paper, we focus on the theory of *linear arithmetic over the rationals*, \mathcal{LRA} : $\text{SMT}(\mathcal{LRA})$ is the problem of checking the satisfiability of a formula Φ consisting in atomic propositions A_1, A_2, \dots and linear-arithmetic constraints over rational variables like “ $(2.1x_1 - 3.4x_2 + 3.2x_3 \leq 4.2)$ ”, combined by means of Boolean operators $\neg, \wedge, \vee, \rightarrow, \leftrightarrow$. (Notice that a Boolean formula is also a $\text{SMT}(\mathcal{LRA})$ formula, but not vice versa.) An \mathcal{LRA} -interpretation μ is a function which assigns truth values to Boolean atoms and rational values to numerical variables; μ satisfies Φ in \mathcal{LRA} , written “ $\mu \models \Phi$ ”—aka, μ is a *solution* for Φ in \mathcal{LRA} —iff μ makes the formula Φ evaluate to true; Φ is \mathcal{LRA} -satisfiable iff it has at least one \mathcal{LRA} -interpretation μ s.t. $\mu \models \Phi$.

An *optimization modulo theories over \mathcal{LRA}* ($\text{OMT}(\mathcal{LRA})$) problem $\langle \Phi, \langle \text{obj}_1, \dots, \text{obj}_k \rangle \rangle$ is the problem of finding solution(s) to an $\text{SMT}(\mathcal{LRA})$ formula Φ which optimize the rational-valued objective functions

¹ The OMT solver OptiMathSAT can be used also as an SMT solver if no objective function is set: in such case, it works as a wrapper of MATHSAT5.

obj_1, \dots, obj_k , either singularly or lexicographically [31, 37, 38, 40]. A solution *optimizes lexicographically* $\langle obj_1, \dots, obj_k \rangle$ if it optimizes obj_1 and, if more than one such obj_1 -optimum solutions exists, it also optimizes obj_2, \dots , and so on.

Very efficient SMT(\mathcal{LRA}) and OMT(\mathcal{LRA}) solvers are available, which combine the power of modern SAT solvers with dedicated linear-programming decision and minimization procedures (see [5, 8, 31, 35, 37–40]). For instance, in the empirical evaluation reported in [38], the OMT(\mathcal{LRA}) solver OptiMathSAT [38, 39] was able to handle optimization problems with up to thousands Boolean/rational variables in <10 min each.

3 Constrained goal models

The narrative of the next three sections is in line with the following schema.

In this section (Sect. 3), we introduce the notions of constrained goal model (CGM), and of realization of a CGM; we also present the automated reasoning functionalities of our CGM-Tool through a meeting scheduling

example (Fig. 1), without getting into the formal details yet.

In Sect. 4, we present the abstract syntax and semantics of CGMs, defining formally the building blocks of a CGM and of its realizations, to which the reader has already been introduced informally in Sect. 3.

In Sect. 5, we describe how to support automated reasoning functionalities on CGMs by encoding them into SMT and OMT. We first show how to encode a CGM \mathcal{M} into a SMT(\mathcal{LRA}) formula $\Psi_{\mathcal{M}}$, so that the search for an optimum realization of \mathcal{M} reduces to an OMT(\mathcal{LRA}) problem over the formula $\Psi_{\mathcal{M}}$, which is then fed to an OMT solver. Then we present the reasoning functionalities over CGMs we have implemented on top of our OMT solver.

3.1 The CGM backbone: goals, refinements, and domain assumptions

We model the requirements for a meeting scheduling system, including the functional requirement *ScheduleMeeting*, as well as non-functional/quality requirements *LowCost*, *FastSchedule*, *MinimalEffort*,

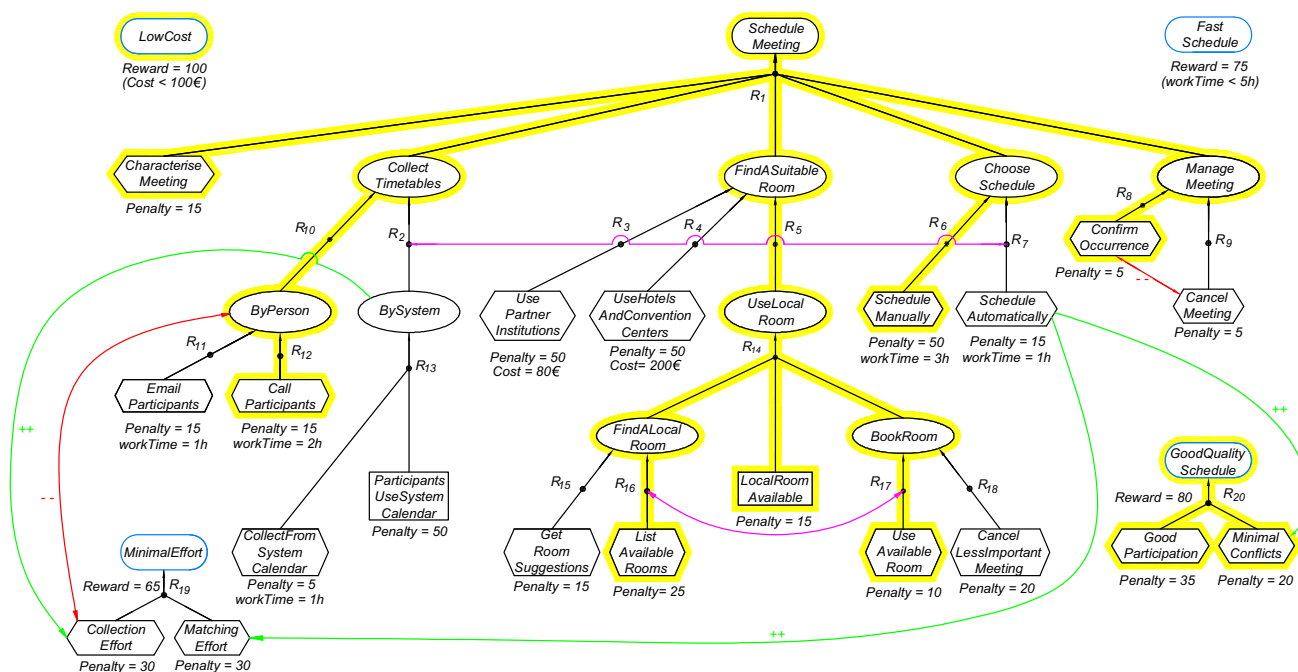


Fig. 1 An example of a CGM with one of its realizations. Here and elsewhere, *round-corner rectangles* are requirements; *ovals* are intermediate goals; *hexagons* are tasks; *rectangles* are domain assumptions. *Labelled bullets* at the merging point of a group of edges are refinements; contribution edges are labelled with ++; conflict edges are labelled with --; and refinement bindings are edges

between refinements only. Values of numerical attributes associated with the elements and their positive prerequisite formulas are written *below* the respective elements. The realization is highlighted in *yellow*, and the denied elements are visible but are not highlighted (color figure online)

and GoodQualitySchedule. They are represented as root goals.

Notationally, round-corner rectangles (e.g. ScheduleMeeting) are root goals, representing stakeholder *requirements*; ovals (e.g. CollectTimetables) are *intermediate goals*; hexagons (e.g. CharacteriseMeeting) are *tasks*, i.e. non-root leaf goals; rectangles (e.g. ParticipantsUseSystemCalendar) are *domain assumptions*. We call *elements* both goals and domain assumptions. Labelled bullets at the merging point of the edges connecting a group of source elements to a target element are *refinements* [e.g. (GoodParticipation, MinimalConflict) $\xrightarrow{R_{20}}$ GoodQualitySchedule], while the R_i s denote their labels.

Remark 1 Unlike previous goal modelling proposals, refinements are explicitly labelled, so that stakeholders can refer to them in relations, constraints and preferences. (This fact will be eventually discussed with more details.) The label of a refinement can be omitted when there is no need to refer to it explicitly.

Intuitively, requirements represent desired states of affairs we want the system-to-be to achieve (either mandatorily or preferably); they are progressively refined into intermediate goals, until the process produces actionable goals (tasks) that need no further decomposition and can be executed; domain assumptions are propositions about the domain that need to hold for a goal refinement to work. Refinements are used to represent alternatives of how to achieve a non-leaf element, i.e. a refinement of an element represents one of the alternative of sub-elements that are necessary to achieve it.

The principal aim of the CGM in Fig. 1 is to achieve the requirement ScheduleMeeting, which is *mandatory*. (A requirement is set to be mandatory by means of user assertions.) ScheduleMeeting has only one candidate refinement R_1 , consisting in five sub-goals: CharacteriseMeeting, CollectTimetables, FindASuitableRoom, ChooseSchedule, and ManageMeeting. Since R_1 is the only refinement of the requirement, all these sub-goals must be satisfied in order to satisfy it. There may be more than one way to refine an element; e.g. CollectTimetables is further refined either by R_{10} into the single goal ByPerson or by R_2 into the single goal BySystem. Similarly, FindASuitableRoom and ChooseSchedule have three and two possible refinements, respectively. The sub-goals are further refined until they reach the level of domain assumptions and tasks.

The requirements that are not set to be mandatory are “nice-to-have” ones, like LowCost, MinimalEffort, FastSchedule, and GoodQualitySchedule (in blue in

Fig. 1). They are requirements that we would like to fulfil with our solution, provided they do not conflict with other requirements.

3.2 Boolean constraints: relation edges, Boolean formulas, and user assertions

Importantly, in a CGM, elements and refinements are enriched by user-defined *Boolean constraints*, which can be expressed either graphically as *relation edges*, or textually as *Boolean or SMT(\mathcal{LRA}) formulas*, or as *user assertions*.

3.3 Relation edges

We have three kinds of relation edges. *Contribution edges* “ $E_i \xrightarrow{++} E_j$ ” between elements (in green in Fig. 1), like “ScheduleAutomatically $\xrightarrow{++}$ MinimalConflicts”, mean that if the source element E_i is satisfied, then also the target element E_j must be satisfied (but not vice versa). *Conflict edges* “ $E_i \xleftrightarrow{} E_j$ ” between elements (in red), like “ConfirmOccurrence $\xleftrightarrow{} \text{CancelMeeting}$ ”, mean that E_i and E_j cannot be both satisfied. *Refinement bindings* “ $R_i \xleftrightarrow{} R_j$ ” between two refinements (in purple), like “ $R_2 \xleftrightarrow{} R_7$ ”, are used to state that, if the target elements E_i and E_j of the two refinements R_i and R_j , respectively, are both satisfied, then E_i is refined by R_i if and only if E_j is refined by R_j . Intuitively, this means that the two refinements are bound, as if they were two different instances of the same global choice.

For instance, in Fig. 1, the refinements R_2 and R_7 are bound because such binding reflects a global choice between a manual approach and an automated one.

3.4 Boolean formulas

It is possible to enrich CGMs with Boolean formulas, representing arbitrary constraints on elements and refinements. Such constraints can be either *global* or *local to elements or to refinements*, that is, each goal G can be tagged with a pair of *prerequisite* formulas $\{\phi_G^+, \phi_G^-\}$ —called *positive* and *negative* prerequisite formulas, respectively—so that ϕ_G^+ (resp. ϕ_G^-) must be satisfied when G is satisfied (resp. denied). (The same holds for each requirement R .)

For example, to require that, as a prerequisite for FastSchedule, ScheduleManually, and CallParticipants cannot be both satisfied, one can add a constraint to the positive prerequisite formula of FastSchedule:

$$\phi_{\text{FastSchedule}}^+ \stackrel{\text{def}}{=} \dots \wedge \neg(\text{ScheduleManually} \wedge \text{CallParticipants}), \quad (1)$$

or, equivalently, add globally to the CGM the following Boolean formula:

$$\text{FastSchedule} \rightarrow \neg(\text{ScheduleManually} \wedge \text{CallParticipants}). \quad (2)$$

Notice that there is no way we can express (1) or (2) with the relation edges above.

3.5 User assertions

With CGM-Tool, one can interactively mark [or unmark] requirements as satisfied (true), thus making them mandatory (if unmarked, they are nice-to-have ones). In our example, **ScheduleMeeting** is asserted as true to make it mandatory, which is equivalent to add globally to the CGM the unary Boolean constraint:

$$(\text{ScheduleMeeting}). \quad (3)$$

Similarly, one can interactively mark/unmark (effortful) tasks as denied (false). More generally, one can mark as satisfied or denied every goal or domain assumption. We call these marks *user assertions*, because they correspond to asserting that an element must be true, i.e. it is part of the solutions we are interested in, or false, i.e. we are interested in solutions that do not include it.

Notice that the process of marking/unmarking elements is conceived to be more *interactive* than that of adding/dropping relation edges or constraints.

3.6 Arithmetical constraints: numerical attributes and SMT(\mathcal{LRA}) formulas

3.6.1 Numerical attributes

In addition to Boolean constraints, it is also possible to use numerical variables to express different numerical attributes of elements (such as cost, worktime, space, and fuel) and to add arithmetical constraints in the form of SMT(\mathcal{LRA}) formulas over such numerical variables.

For example, suppose we estimate that fulfilling **UsePartnerInstitutions** costs 80€, whereas fulfilling **UseHotelsAndConventionCenters** costs 200€. With CGM-Tool, one can express these facts straightforwardly by adding a global numerical variable **cost** to the model;

Then, for every element E in the CGM, CGM-Tool automatically generates a numerical variable cost_E representing the attribute **cost** of the element E , and it adds the following default global constraint and prerequisite formulas:

$$\left(\text{cost} = \sum_E \text{cost}_E \right), \quad (4)$$

$$\text{for every element } E, \phi_E^+ \stackrel{\text{def}}{=} \dots \wedge (\text{cost}_E = 0) \quad (5)$$

$$\phi_E^- \stackrel{\text{def}}{=} \dots \wedge (\text{cost}_E = 0), \quad (6)$$

that set the default value 0 for each cost_E . (Notice that (4) is a *default* global constraint: the user is free to define his/her own objective functions.) Eventually, for the elements E of interest, one can set a new value for cost_E in case E is satisfied, e.g. $\text{cost}_{\text{UsePartnerInstitutions}} := 80\text{€}$ and $\text{cost}_{\text{UseHotelsAndConventionCenters}} := 200\text{€}$. When so, CGM-Tool automatically updates the values in the positive prerequisite formulas (5), e.g.

$$\begin{aligned} \phi_{\text{UsePartnerInstitutions}}^+ &\stackrel{\text{def}}{=} \dots \wedge (\text{cost}_{\text{UsePartnerInstitutions}} = 80) \\ \phi_{\text{UseHotelsAndConventionCenters}}^+ &\stackrel{\text{def}}{=} \dots \wedge \\ &(\text{cost}_{\text{UseHotelsAndConventionCenters}} = 200), \end{aligned} \quad (7)$$

whereas the corresponding constraint (6) is not changed. Similarly, one can set a new value for cost_E in case E is denied by updating the values in the negative prerequisite formulas (6).

Remark 2 Notationally, we use variables and formulas indexed by the element they belong to (e.g. $\text{cost}_{\text{UsePartnerInstitutions}}$ and $\phi_{\text{UsePartnerInstitutions}}^+$) rather than attribute variables and formulas of the elements in an object-oriented notation (e.g. $\text{UsePartnerInstitutions.cost}$ and $\text{UsePartnerInstitutions}.\phi^+$) because they are more suitable to be used within the SMT(\mathcal{LRA}) encodings (Sects. 4, 5).

3.6.2 SMT(\mathcal{LRA}) formulas

Suppose that, in order to achieve the nice-to-have requirement **LowCost**, we need to have a total cost smaller than 100€. This can be expressed by adding to **LowCost** the prerequisite formula:

$$\phi_{\text{LowCost}}^+ = \dots \wedge (\text{cost} < 100). \quad (8)$$

Hence, e.g. due to (4)–(8), **LowCost** and **UseHotelsAndConventionCenters** cannot be both satisfied, matching the intuition that the latter is too expensive to comply to the nice-to-have **LowCost** requirement.

Similarly to **cost**, one can introduce, for example, another global numerical attribute **workTime** to reason on working time and estimate, for example, that the total working time for **ScheduleManually**, **ScheduleAutomatically**, **EmailParticipants**, **CallParticipants**, **CollectFromSystemCalendar** are 3, 1,

1, 2, and 1 h(s), respectively, and state that the nice-to-have requirement **FastSchedule** must require a global time smaller than 5 h. As a result of this process, the system will produce the following constraints.

$$\left(\text{workTime} = \sum_E \text{workTime}_E \right) \quad (9)$$

$$\phi_{\text{FastSchedule}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime} < 5) \quad (10)$$

$$\phi_{\text{ScheduleManually}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime}_{\text{ScheduleManually}} = 3)$$

$$\phi_{\text{ScheduleAutomatically}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime}_{\text{ScheduleAutomatically}} = 1)$$

$$\phi_{\text{EmailParticipants}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime}_{\text{EmailParticipants}} = 1)$$

$$\phi_{\text{CallParticipants}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime}_{\text{CallParticipants}} = 2)$$

$$\phi_{\text{CollectFromSystemCalendar}}^+ \stackrel{\text{def}}{=} \dots \wedge (\text{workTime}_{\text{CollectFromSystemCalendar}} = 1), \quad (11)$$

plus the corresponding negative prerequisite formula, which force the corresponding numerical attributes to be zero.

As with the previous case, e.g. the arithmetic constraints make the combination of **ScheduleManually** and **CallParticipants** incompatible with the nice-to-have requirement **FastSchedule**.

Notice that one can build combinations of numerical attributes. For example, if labour cost is 35€/h, then one can redefine **cost** as $(\text{cost} = \sum_E \text{cost}_E + 35 \cdot \text{workTime})$, or introduce a new global variable **totalCost** as $(\text{totalCost} = \text{cost} + 35 \cdot \text{workTime})$.

Remark 3 Although the nice-to-have requirements **LowCost** and **FastSchedule** look isolated in Fig. 1, they are implicitly linked to the rest of the CGM by means of arithmetic constraints on the numerical variables **cost** and **workTime**, respectively, which implicitly imply Boolean constraints like:

$$\text{LowCost} \rightarrow \neg \text{UseHotelsAndConventionCenters} \quad (12)$$

$$\text{FastSchedule} \rightarrow \neg (\text{ScheduleManually} \wedge \text{CallParticipants}) \quad (13)$$

$$\text{FastSchedule} \rightarrow \neg \left(\begin{array}{c} \text{ScheduleManually} \wedge \\ \text{EmailParticipants} \wedge \\ \text{CollectFromSystemCalendar} \end{array} \right) \quad (14)$$

Nevertheless, there is no need for stakeholders to consider these implicit constraints, since they are automatically handled by the internal OMT(\mathcal{LRA}) reasoning capabilities of CGM-Tool.

3.7 Realizations of a CGM

We suppose now that **ScheduleMeeting** is marked satisfied by means of an user assertion (i.e. it is mandatory) and that no other element is marked. Then the CGM in Fig. 1 has more than 20 possible *realizations*. The sub-graph which is highlighted in yellow describes one of them.

Intuitively, a realization of a CGM under given user assertions represents one of the alternative ways of refining the mandatory requirements (plus possibly some of the nice-to-have ones) in compliance with the user assertions and user-defined constraints. It is a sub-graph of the CGM including a set of satisfied elements and refinements: it includes all mandatory requirements and [resp. does not include] all elements satisfied [resp. denied] in the user assertions; for each non-leaf element included, at least one of its refinement is included; for each refinement included, all its target elements are included; finally, a realization complies with all relation edges and with all Boolean and SMT(\mathcal{LRA}) constraints. (Notationally, in Figs. 1, 2, and 3 a realization is highlighted in yellow, and the denied elements are visible but they are not highlighted.)

Apart from the mandatory requirement, the realization in Fig. 1 allows to achieve also the nice-to-have requirements **LowCost**, **GoodQualitySchedule**, but not **FastSchedule** and **MinimalEffort**; in order to do this, it requires accomplishing the tasks **CharacteriseMeeting**, **CallParticipants**, **ListAvailableRooms**, **UseAvailableRoom**, **ScheduleManually**, **ConfirmOccurrence**, **GoodParticipation**, **MinimalConflicts**, and it requires the domain assumption **LocalRoomAvailable**.

3.8 Setting preferences in a CGM

In general, a CGM under given user assertions has many possible realizations. To distinguish among them, stakeholders may want to express *preferences* on the requirements to achieve, on the tasks to accomplish, and on elements and refinements to choose. The CGM-Tool provides various methods to express preferences:

- attribute *penalties and rewards* for tasks and requirements;
- introduce *numerical objectives* to optimize;
- introduce *binary preference relations* between elements and between refinements.

These methods, which are described in what follows, can also be combined.

3.8.1 Preferences via penalties/rewards

First, stakeholders can define two numerical attributes called **Penalty** and **Reward**, and then, stakeholders can

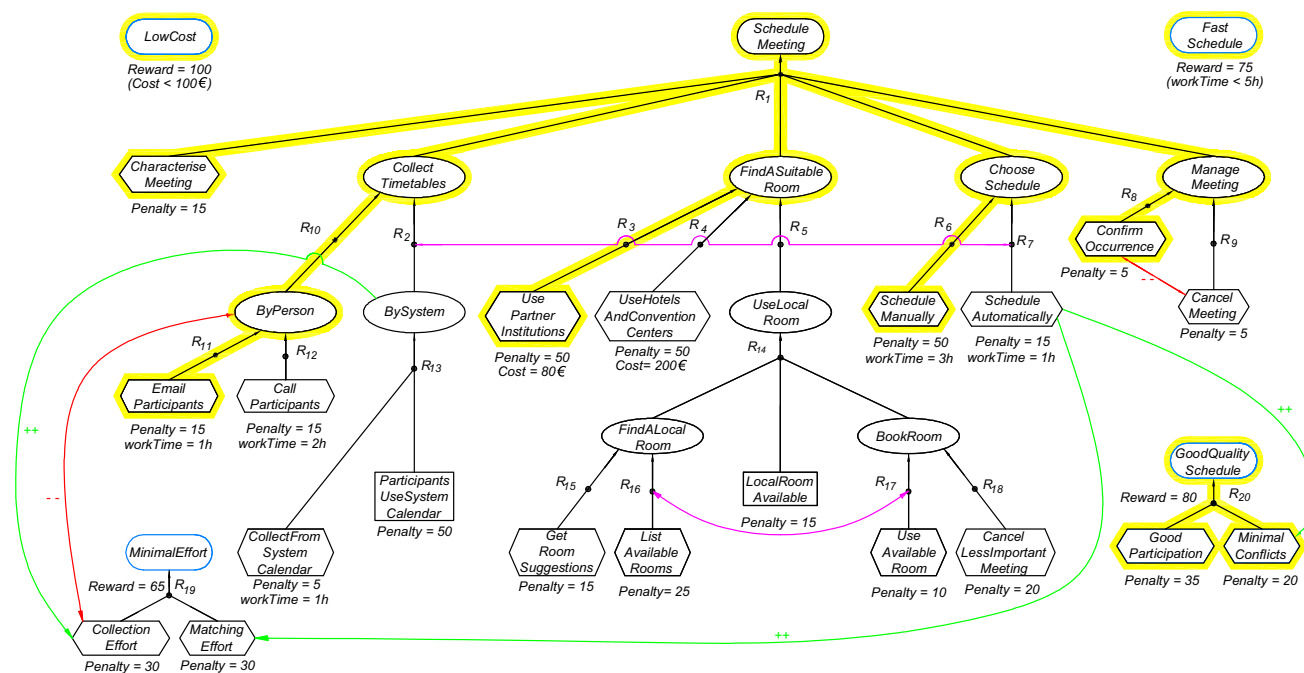


Fig. 2 A CGM and its realization with minimized Weight. The realization is highlighted in yellow, and the denied elements are visible but are not highlighted (color figure online)

assign *penalty* values to tasks and *reward* values to (non-mandatory) requirements (the numbers “Penalty = ...” and “Reward = ...” in Fig. 1). This implies that requirements [resp. tasks] with higher rewards [resp. smaller penalties] are preferable. Next, stakeholders can define another numerical attribute *Weight*, that represents the total difference between the penalties and rewards. [This can be defined as a global constraint: ($\text{Weight} = \text{Penalty} - \text{Rewards}$).] When a model represents preferences, an OMT solver will look for a realization that minimizes its global weight. For instance, one minimum-weight realization of the example CGM, as shown in Fig. 2, achieves all the nice-to-have requirements except *MinimalEffort*, with a total weight of -65 , which is the minimum which can be achieved with this CGM. Such realization requires accomplishing the tasks *CharacteriseMeeting*, *EmailParticipants*, *Use PartnerInstitution*, *ScheduleManually*, *Confirm Occurrence*, *GoodParticipation*, and *MinimalConflicts* and requires no domain assumption. (This was found automatically by our CGM-Tool in 0.008 s on an Apple MacBook Air laptop.)

3.8.2 Preferences via multiple objectives

Stakeholders may define rational-valued *objectives* obj_1, \dots, obj_k to optimize (i.e. maximize or minimize) as functions of Boolean and numerical variables—e.g. *cost*, *workTime*, *totalCost* can be suitable objectives—and ask the tool to automatically generate realization(s) which optimize one objective, or some combination of more objectives (like *totalCost*), or which optimizes lexicographically an ordered list of objectives $\langle obj_1, obj_2, \dots \rangle$. (We recall that a solution optimizes lexicographically an ordered list of objectives $\langle obj_1, obj_2, \dots \rangle$ if it makes obj_1 optimum and, if more than one such solution exists, it makes also obj_2 optimum, ..., etc.) Notice that lexicographic optimization allows for defining objective functions in a very fine-grained way and for preventing ties: if the stakeholder wants to prevent tie solutions on objective obj_1 , he/she can define one further preference criterion obj_2 in case of tie on obj_1 , and so on.

Importantly, our CGM-Tool provides some pre-defined objectives of frequent usage. *Weight* (see last paragraph) is one of them. Other examples of pre-defined objectives

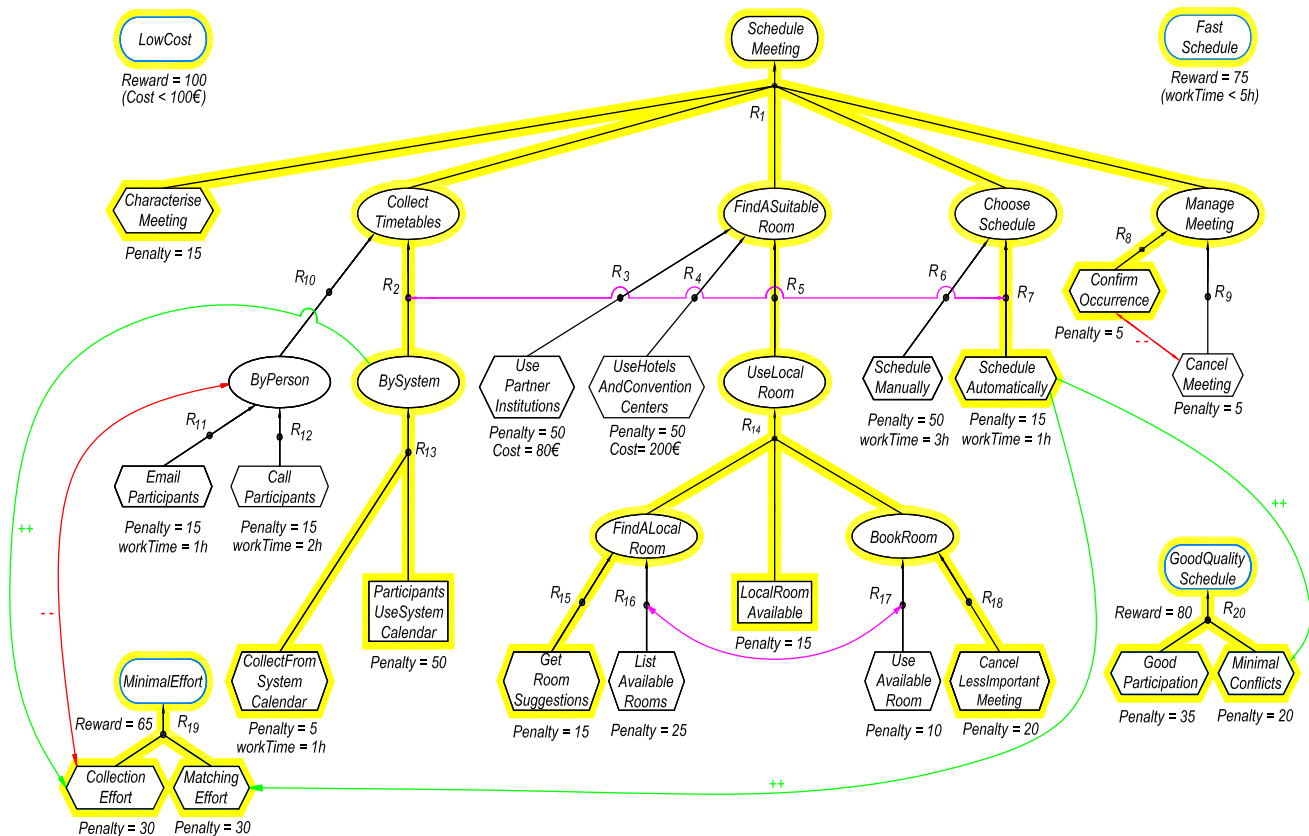


Fig. 3 A CGM and its realization with minimized lexicographically $\langle \text{Weight}, \text{workTime}, \text{cost} \rangle$, or minimized lexicographically $\langle \text{Weight}, \text{numUnsatPrefs} \rangle$. The realization is highlighted in yellow,

stakeholders may want to minimize, either singularly or in combination with other objectives, are:

- numUnsatRequirements:** the number of nice-to-have requirements which are not included in the realization;
- numSatTasks:** the number of tasks which are included in the realization;
- numUnsatPrefs:** the number of user-defined binary preference relations which are not fulfilled by the realization (see later).

For example, the previously mentioned optimum-weight realization of Fig. 2 is such that **Weight** = −65, **workTime** = 4 and **cost** = 80. Our CGM has many different minimum-weight realizations s.t. **Weight** = −65, with different values of **cost** and **workTime**. Among them, it is possible to search, for example, for the realizations with minimum **workTime**, and among these for those with minimum **cost**, by setting lexicographic minimization with order $\langle \text{Weight}, \text{workTime}, \text{cost} \rangle$. This results into one realization with **Weight** = −65, **workTime** = 2 and **cost** = 0 achieving all the nice-to-have requirements, as shown in Fig. 3, which requires accomplishing the tasks: **CharacteriseMeeting**, **CollectFromSystemCalendar**, **GetRoomSuggestions**, **CancelLessImportantMeeting**,

and the denied elements are visible but are not highlighted (color figure online)

ScheduleAutomatically, **ConfirmOccurrence**, **GoodParticipation**, **MinimalConflicts**, **CollectionEffort**, **MatchingEffort**, and which requires the domain assumptions: **ParticipantsUseSystemCalendar**, **LocalRoom Available**. (This was found automatically by our CGM-Tool in 0.016 s on an Apple MacBook Air laptop.)

3.8.3 Preferences via binary preference relations

In general, stakeholders might not always be at ease in assigning numerical values to state their preferences, or in dealing with $\text{SMT}(\mathcal{LRA})$ terms, constraints, and objectives. Thus, as a more coarse-grained and user-friendly solution, it is also possible for stakeholders to express their preferences in a more direct way by stating explicitly a list of *binary preference relations*, denoted as “ $P_1 \succeq P_2$ ”, between pairs of elements of the same kind (e.g. pair of requirements, of tasks, of domain assumptions) or pairs of refinements. “ $P_1 \succeq P_2$ ” means that one prefers to have P_1 satisfied than P_2 satisfied, that is, that he/she would rather avoid having P_1 denied and P_2 satisfied. In the latter case, we say that a preference is unsatisfied. Notice that $P_1 \succeq P_2$ allows for having both P_1 and P_2 satisfied or both denied.

Remark 4 These are *binary* preferences, so that they say nothing on the fact that each P_i is singularly desirable or not, which in case must be stated separately (e.g. by penalties/rewards). Thus, the fact that a binary preference $P_1 \succeq P_2$ allows for having both P_1 and P_2 denied should not be a surprise: if both $\{P_1 = \text{false}, P_2 = \text{true}\}$ and $\{P_1 = \text{false}, P_2 = \text{false}\}$ violated $P_1 \succeq P_2$, then P_2 would play no role in the preference, so that it would reduce to the *unary* preference “I’d rather have P_1 than not have it.” A dual argument holds for the fact that $P_1 \succeq P_2$ allows for having both P_1 and P_2 satisfied.

Also, this choice is a very general one, since it implements the case in which $\langle P_1, P_2 \rangle$ are both desirable/rewarding (“I prefer winning the Turing Award than winning at the lottery.”) like the preference between two requirements, as well as the opposite case in which they are both undesirable/expensive (“I prefer being shot than being hanged.”) like the preference between two tasks, plus obviously the trivial case in which P_1 is desirable and P_2 is undesirable. If this choice is considered too general, then the stakeholder can add mutual-exclusion constraints, or combine it lexicographically with penalty/rewards, or directly use penalty/rewards instead.

With CGM-Tool, binary preference relations can be expressed either graphically, via a “prefer” arc “ $P_1 \xrightarrow{\text{prefer}} P_2$ ”, or via and ad hoc menu window. Once a list of binary preference relations is set, the system can be asked to consider the number of unsatisfied preference relations as a pre-defined objective (namely `numUnsatPrefs`), and it searches for a realization which minimizes it. It is also possible to combine such objective lexicographically with the other objectives.

One typical usage we envision for binary preferences is between pairs of refinements of the same element—or equivalently, in case of single-source refinements, between their relative source elements. This allows for expressing stakeholders’ preferences between possible ways one intermediate element can be refined.

For example, suppose we want to minimize the total weight of our example goal model. As previously mentioned, there is more than one realization with minimum weight -65 . Unlike the previous example, as a secondary choice we disregard `workTime` and `cost`; rather, we express also the following binary preferences:

$$\begin{aligned} \text{BySystem} &\succeq \text{ByPerson}, \\ \text{UseLocalRoom} &\succeq \text{UsePartnerInstitutions}, \\ \text{UseLocalRoom} &\succeq \text{UseHotelsAndConventionCenters}. \end{aligned} \quad (15)$$

[Notice that the goal preferences in (15) are pairwise equivalent to the following refinement preferences:

$$R_2 \succeq R_{10}, \quad R_5 \succeq R_3, \quad \text{and} \quad R_5 \succeq R_4 \quad (16)$$

because the refinements in (16) are all single-source ones, whose sources are pairwise the goals in (15).]

Then we set `numUnsatPrefs` as secondary objective to minimize after `Weight`, that is, we set the lexicographic order $\langle \text{Weight}, \text{numUnsatPrefs} \rangle$. Then our tool returned the same realization of Fig. 3—that is, the same as with minimizing `workTime` and `cost` as secondary and tertiary choice—instead of that in Fig. 2. (This solution was found in 0.018 s on an Apple MacBook Air laptop.)

4 Abstract syntax and semantics

In this section, we describe formally the abstract syntax and semantics of CGMs.

4.1 Abstract syntax

We introduce first some general definitions. We call a *goal graph* \mathcal{D} a directed acyclic graph (DAG) alternating element nodes and refinement nodes (collapsed into bullets), s.t.: (a) each element has from zero to many outgoing edges to distinct refinements and from zero to many incoming edges from distinct refinements; (b) each refinement node has exactly one outgoing edge to an element (*target*) and one or more incoming edges from distinct elements (*sources*).

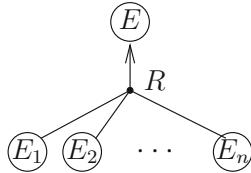
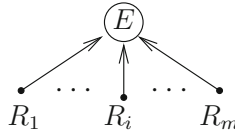
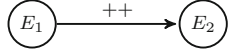

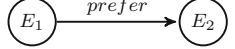
We call a *root element node* any element node that has no outgoing refinement edges, a *leaf element node* any (non-root) element node that has no incoming refinement edges, and an *internal element node* any other element node. (Hereafter we will usually drop the word “node”, simply saying “refinement” for “refinement node”, “element” for “element node”, etc.)

Notice that, by construction, only elements can be roots and leaves of a goal graph. The sets of root, leaf and internal elements of a goal graph \mathcal{D} are denoted as $\text{Roots}(\mathcal{D})$, $\text{Leaves}(\mathcal{D})$, $\text{Internals}(\mathcal{D})$, respectively. Given a refinement R with outgoing edge to the element E and incoming edges from the element $s \in E_1, \dots, E_n$, we call E_1, \dots, E_n the *source elements* of R and E the *target element* of R , which are denoted by $\text{Sources}(R)$ and $\text{Target}(R)$, respectively. We say that R is a *refinement of* E and that R *refines* E into E_1, \dots, E_n , denoted “ $(E_1, \dots, E_n) \xrightarrow{R} E$ ”. The set of refinements of an element E is denoted with $\text{Refinements}(E)$.

Elements are *goals* or *domain assumptions*, subject to the following rules:

- a domain assumption cannot be a root element;
- if the target of a refinement R is a domain assumption, then its sources are only domain assumptions;

Table 1 Summary of goal model structure

Constructor	Textual representation	Graphical representation	Propositional encoding
Goal refinement	$(E_1, \dots, E_n) \xrightarrow{R} E$		$((\bigwedge_{j=1}^n E_j) \leftrightarrow R) \wedge$
Closed world	–		$(R \rightarrow E)$ $E \rightarrow (\bigvee_{R_i \in \text{Ref}(G)} R_i)$
Contribution	$E_1 \xrightarrow{++} E_2$		$(E_1 \rightarrow E_2)$
Conflict	$E_1 \xrightarrow{--} E_2$		$\neg(E_1 \wedge E_2)$
Preferences	$E_1 \succeq E_2$		$(E_1 \vee (\neg E_2))$

- if the target of a refinement R is a goal, then at least one of its sources is a goal.

We call root goals and leaf goals *requirements* and *tasks*, respectively.

Notationally, we use the symbols R, R_j for labelling refinements, E, E_i for generic elements (without specifying if goals or domain assumptions), G, G_i for goals, A, A_i for domain assumptions. Graphically (see Fig. 1) we collapse refinements nodes into one bullet, so that we see a refinement as an aggregation of edges from a set of other goals. (See Table 1.) Hence, in a goal graph we consider element nodes as the only nodes, and refinements as (aggregations of) edges from a group of source elements to a target element.

Definition 1 (*Constrained goal model*) A CGM is a tuple $\mathcal{M} \stackrel{\text{def}}{=} \langle \mathcal{B}, \mathcal{N}, \mathcal{D}, \Psi \rangle$, s.t.

- $\mathcal{B} \stackrel{\text{def}}{=} \mathcal{G} \cup \mathcal{R} \cup \mathcal{A}$ is a set of atomic propositions, where $\mathcal{G} \stackrel{\text{def}}{=} \{G_1, \dots, G_N\}$, $\mathcal{R} \stackrel{\text{def}}{=} \{R_1, \dots, R_K\}$, $\mathcal{A} \stackrel{\text{def}}{=} \{A_1, \dots, A_M\}$ are, respectively, sets of goal, refinement and domain-assumption labels. We denote with \mathcal{E} the set of element labels: $\mathcal{E} \stackrel{\text{def}}{=} \mathcal{G} \cup \mathcal{A}$;
- \mathcal{N} is a set of numerical variables in the rationals;
- \mathcal{D} is a goal graph, s.t. all its goal nodes are univocally labelled by a goal label in \mathcal{G} , all its refinements are univocally labelled by a refinement label in \mathcal{R} , and all its domain assumption are univocally labelled by a assumption label in \mathcal{A} ;
- Ψ is a SMT(\mathcal{LRA}) formula on \mathcal{B} and \mathcal{N} .

A CGM is thus a “backbone” goal graph \mathcal{D} —i.e. an and-or directed acyclic graph (DAG) of *elements*, as nodes,

and *refinements*, as (grouped) edges, which are labelled by atomic propositions in \mathcal{B} —which is augmented with an SMT(\mathcal{LRA}) formula Ψ on the element and refinement labels in ν and on the numerical variables in \mathcal{N} . The SMT(\mathcal{LRA}) formula Ψ is a conjunction of smaller formulas encoding relation edges, global and local Boolean/SMT(\mathcal{LRA}) constraints, user assertions, and the definition of numerical objectives, all of which will be described later in this section.

Intuitively, a CGM describes a (possibly complex) combination of alternative ways of realizing a set of requirements in terms of a set of tasks, under certain domain assumptions and constraints. A couple of remarks are in order.

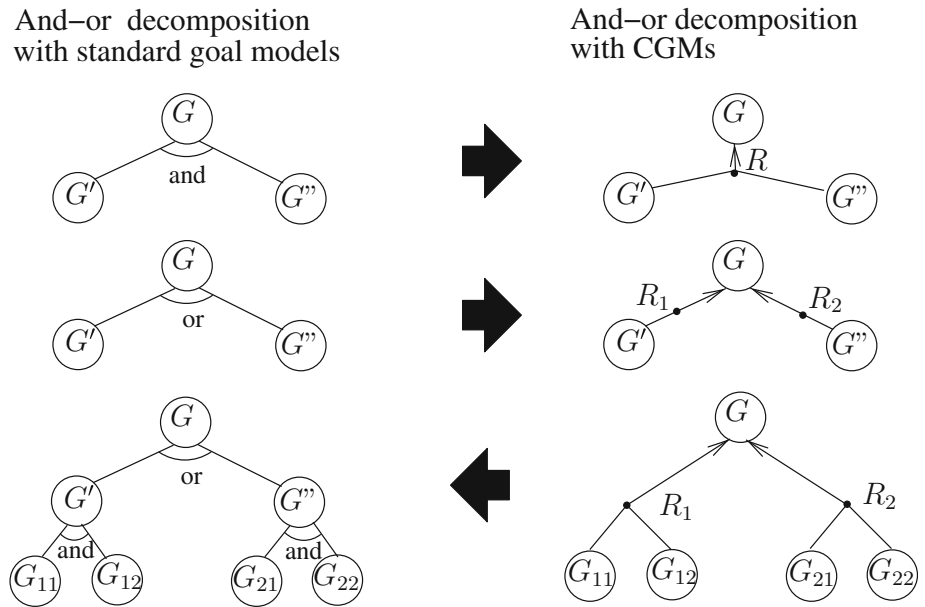
Remark 5 The fact that the goal graph \mathcal{D} is an *and-or* graph can be deduced from the propositional encoding of Goal refinement and Closed World in Table 1: by combining the propositional encodings of goal refinement and Closed World in Table 1, we can infer the formulas²:

$$E \leftrightarrow \left(\bigvee_i R_i \right) \quad \text{and} \quad R \leftrightarrow \left(\bigwedge_j E_j \right). \quad (17)$$

Thus, each non-leaf element E is or-decomposed into the set of its incoming refinements $\{R_i\}_i$, and each refinement R is and-decomposed into the set of its source elements $\{E_j\}_j$.

² We recall that in Boolean logic the formula $\bigwedge_i (R_i \rightarrow E)$, which comes from the goal refinement encoding in Table 1, is equivalent to $E \leftarrow (\bigvee_i R_i)$. The latter, combined with the encoding of Closed World $E \rightarrow (\bigvee_i R_i)$, gives the left formula in (17). The right formula in (17) is the other part of the goal refinement encoding in Table 1.

Fig. 4 *Top* and-decomposition and its translation into CGM format as a single multi-source refinement. *Middle* or-decomposition and its translation into CGM format as multiple single-source refinements. *Bottom* a simple piece of CGM (right) and its translation into standard and-or goal model format (left): it is necessary to introduce two auxiliary goals G' and G'' to encode the refinements R_1 and R_2



Remark 6 CGMs are more succinct in terms of number of goals than standard and-or goal models. On the one hand, a standard n -ary and-decomposition of a goal can be represented straightforwardly in a CGM by one refinement with n sources (Fig. 4, top), and an or-decomposition by n one-source refinements (Fig. 4, middle), so that no extra goals are added. On the other hand, in order to represent a piece of CGM with n non-unary refinements by standard goal models, we need introducing n new auxiliary intermediate goals to encode refinements, which CGMs encode natively (Fig. 4, bottom). We recall from Sect. 3 that refinements do not need to be explicitly labelled unless they need to be mentioned in other parts of the model.

Stakeholders might not be at ease in defining a possibly complex *global* $\text{SMT}(\mathcal{LRA})$ formula Ψ to encode constraints among elements and refinements, plus numerical variables. To this extent, as mentioned in Sect. 3, apart from the possibility of defining global formulas, CGMs provide constructs allowing the user to encode *graphically* and *locally* desired constraints of frequent usage: *relation edges*, *prerequisite formulas* $\{\phi_G^+, \phi_G^-\}$ and $\{\phi_R^+, \phi_R^-\}$, and *user assertions*. Each is automatically converted into a simple $\text{SMT}(\mathcal{LRA})$ formula as follows and then conjoined to Ψ .

Element-contribution edges $E_1 \xrightarrow{++} E_2$, meaning that satisfying E_1 forces E_2 to be satisfied (but not vice versa). They are encoded into the formula $(E_1 \rightarrow E_2)$. (The edge $E_1 \xleftrightarrow{++} E_2$ can be used to denote the merging of the two contribution edges $E_1 \xrightarrow{++} E_2$ and $E_2 \xrightarrow{++} E_1$ into one.)

Element-conflict edges $E_1 \xleftrightarrow{-} E_2$, meaning that E_1 and E_2 cannot be both satisfied. They are encoded into the formula $\neg(E_1 \wedge E_2)$.

Refinement-binding edges $R_1 \longleftrightarrow R_2$, meaning that, if both the target goals of R_1 and R_2 (namely E_1 and E_2 , respectively) are satisfied, then R_1 refines E_1 if and only if R_2 refines E_2 . They are encoded into the formula $(E_1 \wedge E_2) \rightarrow (R_1 \leftrightarrow R_2)$.

User assertions $E_i := \top$ and $E_j := \perp$, are encoded into the formulas (E_i) , $(\neg E_j)$, respectively.

Prerequisite formulas $\{\phi_G^+, \phi_G^-\}$ [resp. $\{\phi_R^+, \phi_R^-\}$] are encoded into the formulas $(G \rightarrow \phi_G^+)$ and $(\neg G \rightarrow \phi_G^-)$ [resp. $(R \rightarrow \phi_R^+)$ and $(\neg R \rightarrow \phi_R^-)$].

The following are instead encoded into $\text{SMT}(\mathcal{LRA})$ “soft”³ constraints:

Preference edges $E_1 \xrightarrow{\text{prefer}} E_2$ [resp. $R_1 \xrightarrow{\text{prefer}} R_2$], and their equivalent *binary preference relations* $E_1 \succeq E_2$ [resp. $R_1 \succeq R_2$], are implemented into the soft constraint $\phi_{E_1 \succeq E_2} \stackrel{\text{def}}{=} (E_1 \vee (\neg E_2))$ [resp. $\phi_{R_1 \succeq R_2} \stackrel{\text{def}}{=} (R_1 \vee (\neg R_2))$]. (See also Remark 4 in Sect. 3.8.) Notice that E_1 and E_2 [resp. R_1 and R_2] must be of the same kind, i.e. they must be both tasks, or both requirements, or both refinements, or both intermediate goals, or both domain assumptions.

Unlike with other constraints, these soft constraints are *not* added directly to Ψ . Rather, the following $\text{SMT}(\mathcal{LRA})$ constraint, which defines a numeric Pseudo-Boolean *cost function*, is added to Ψ :

³ In constraint programming and other related disciplines (e.g. MaxSAT, MaxSMT, OMT) constraints which must be satisfied are called “hard”, whereas constraints which are preferably satisfied but which can be safely violated, although paying some penalty, are called “soft.”

$$\left(\text{numUnsatPrefs} = \sum_{\langle E_i, E_j \rangle \in \mathcal{P}} \text{ite}(\phi_{E_i \succeq E_j}, 0, 1) + \sum_{\langle R_i, R_j \rangle \in \mathcal{P}} \text{ite}(\phi_{R_i \succeq R_j}, 0, 1) \right), \quad (18)$$

where \mathcal{P} is the list of binary preference relations, and “ $\text{ite}(\phi_*, 0, 1)$ ” denotes an if-then-else arithmetical term, which is evaluated to 0 if ϕ_* is evaluated to true, to 1 otherwise. Hence, numUnsatPrefs counts the number of unsatisfied preferences, that is, the number of binary preferences $P_i \succeq P_j$ s.t. P_i is false and P_j is true.⁴

Notice that, unlike refinements, relation edges, and preference edges are allowed to create loops, possibly involving refinements. In fact, refinements are acyclic because they represent the and-or decomposition DAG or the CGM requirements. Other arcs (and formulas) represent relations and constraints among elements, and as such they are free to form loops, even with refinements.

Finally, we provide the user of a list of syntactic-sugaring constructs, which allow for defining, both globally and locally, the most standard and intuitive constraints among assumption, goal, and refinement labels, with no need of defining the corresponding complicate or less-intuitive propositional formulas. (In what follows, P_1, \dots, P_n denote atomic propositions in \mathcal{B} .)

$\text{Alt}(P_1, P_2)$ denotes the fact P_1 and P_2 are alternative, e.g. that one and only one of them is satisfied. This is encoded by the formula $(P_1 \leftrightarrow \neg P_2)$.

$\text{Causes}(P_1, P_2)$ denotes the fact that satisfying P_1 causes P_2 to be satisfied. This is encoded by the formula $(P_1 \rightarrow P_2)$.

$\text{Requires}(P_1, P_2)$ denotes the fact that satisfying P_1 requires P_2 to be satisfied. This is encoded by the formula $(P_1 \rightarrow P_2)$.⁵

$\text{AtMostOneOf}(\{P_1, \dots, P_n\})$ denotes the fact that at most one of $\{P_1, \dots, P_n\}$ must be satisfied. This is encoded by the formula $(\bigwedge_{1 \leq i < j \leq n} (\neg P_i \vee \neg P_j))$.

$\text{AtLeastOneOf}(\{P_1, \dots, P_n\})$ denotes the fact that at least one of $\{P_1, \dots, P_n\}$ must be satisfied. This is encoded by the formula $(\bigvee_{1 \leq i \leq n} P_i)$.

⁴ In practice, the OMT solver OptiMathSAT [39] provides more efficient ad hoc encodings for soft constraints like those in (18), which we have exploited in the implementation of CGM-Tool; we refer the reader to [39] for details.

⁵ Notice that the relation edge $P_1 \xrightarrow{++} P_2$, and the Boolean constraints $\text{Causes}(P_1, P_2)$, $\text{Requires}(P_1, P_2)$, and $(P_1 \rightarrow P_2)$ are equivalent from the perspective of Boolean semantics. Nevertheless, stakeholders may use them in different contexts, e.g. “ $\text{Causes}(P_1, P_2)$ ” is used when event P_1 occurs before P_2 and the former causes the latter, whereas “ $\text{Requires}(P_1, P_2)$ ” is used when P_1 occurs after P_2 and the former requires the latter as a prerequisite.

$\text{OneOf}(\{P_1, \dots, P_n\})$ denotes the fact that exactly one of $\{P_1, \dots, P_n\}$ must be satisfied. This is encoded by the conjunction of the previous two formulas.

4.2 Semantics

The semantics of CGMs is formally defined in terms of the semantics of simple Boolean expressions, as follows.

Definition 2 (*Realization of a CGM*) Let $\mathcal{M} \stackrel{\text{def}}{=} \langle \mathcal{B}, \mathcal{N}, \mathcal{D}, \Psi \rangle$ be a CGM. A *realization* of \mathcal{M} is a \mathcal{LRA} -interpretation μ over $\mathcal{B} \cup \mathcal{N}$ such that:

- (a) $\mu \models ((\bigwedge_{i=1}^n E_i) \leftrightarrow R) \wedge (R \rightarrow E)$ for each refinement $(E_1, \dots, E_n) \xrightarrow{R} E$;
- (b) $\mu \models (E \rightarrow (\bigvee_{R_i \in \text{Ref}(E)} R_i))$, for each non-leaf element E ;
- (c) $\mu \models \Psi$.

We say that \mathcal{M} is *realizable* if it has at least one realization, *unrealizable* otherwise.

Alternatively and equivalently, (a) and (b) can be substituted by the conditions:

- (a') $\mu \models ((\bigwedge_{i=1}^n E_i) \leftrightarrow R)$ for each refinement $(E_1, \dots, E_n) \xrightarrow{R} E$;
- (b') $\mu \models (E \leftrightarrow (\bigvee_{R_i \in \text{Ref}(E)} R_i))$, for each non-leaf element E ,

which reveal the and-or structure of \mathcal{D} . (Recall Remark 5 and Footnote 2.)

In a realization μ for a CGM $\mathcal{M} \stackrel{\text{def}}{=} \langle \mathcal{B}, \mathcal{N}, \mathcal{D}, \Psi \rangle$, each element E or refinement R can be either *satisfied* or *denied* (i.e. their label can be assigned true or false, respectively, by μ), and each numerical value is assigned a rational value. μ is represented graphically as the sub-graph of \mathcal{D} which includes all the satisfied elements and refinements and does not include the denied elements and refinements. As an example, consider the realization highlighted in yellow in Fig. 1, where $\text{cost} = 0$ and $\text{cost}_E = 0$ for every element E . From Definition 2, a realization μ represents a sub-graph of the CGM, such that:

- (a) A refinement R is part of μ if and only if all its source elements E_i are also included. Moreover, if R is part of μ , then also its target element E is part of it. (See, for example, refinement R_1 for **ScheduleMeeting**, with all its source goals.)
- (b) If a non-leaf goal is in a realization sub-graph, then at least one of its refinements is included in the realization. (See, for example, refinement R_5 for **FindASuitableRoom**.)

- (c) A realization complies with all Boolean and $\text{SMT}(\mathcal{LRA})$ constraints of the CGM, including relational edges, global and local formulas, user assertions, and the definitions of the numerical attributes and objectives. In particular:

$E_1 \xrightarrow{++} E_2$: If E_1 is in μ , then E_2 is in μ . (See, for example, the contribution edge $\text{BySystem} \xrightarrow{++} \text{CollectionEffort}$.)

$E_1 \xleftrightarrow{-} E_2$: E_1 and E_2 cannot be both part of μ . (See, for example, the conflict edge $\text{Byperson} \xleftrightarrow{-} \text{CollectionEffort}$.)

$R_1 \longleftrightarrow R_2$: if both the target goals of R_1 and R_2 are part of the realization μ , then R_1 is in μ if and only if R_2 is there. (See, for example, the binding $R_{16} \longleftrightarrow R_{17}$.)

User assertions If E_i is marked satisfied [resp. denied], then E_i is [resp. is not] part of a realization μ . (See, for example, the requirement **ScheduleMeeting**, which is mandatory, i.e. it is marked satisfied.)

ϕ_G^+ : if G is part of a realization μ , then ϕ_G^+ must be satisfied in μ . (For example, **LowCost** is part of μ , so that $\phi_G^+ \stackrel{\text{def}}{=} \dots \wedge (\text{cost} < 100)$ is satisfied, in compliance with the fact that μ sets $\text{cost} = 0$.)

ϕ_G^- : if G is *not* part of a realization μ , then ϕ_G^- must be satisfied in μ . (E.g. **UsePartnerInstitutions** is not part of μ , so that $\phi_{\text{UsePartnerInstitutions}}^-$ —which includes $(\text{cost}_{\text{UsePartnerInstitutions}} = 0)$ by (6)—is satisfied, in compliance with the fact that μ sets $\text{cost}_E = 0$ for every E .)

Global formulas and attribute definitions The realization complies with all global formulas and attribute definitions. (E.g. the global formula $(\text{cost} = \sum_E \text{cost}_E)$, which defines the attribute **cost**, is satisfied by μ because $\text{cost} = 0$ and $\text{cost}_E = 0$ for every element E .)

Remark 7 Importantly, in the definition of objectives only nonzero terms of the sums need to be considered. (E.g. the sum in $(\text{cost} = \sum_{E \in \mathcal{E}} \text{cost}_E)$ can be safely restricted to the elements **UsePartnerInstitutions** and **UseHotelsAndConventionCenters**.) This allows for reducing drastically the number of rational variables involved in the encoding. In the implementation of CGM-Tool, we have exploited this fact.

5 Automated reasoning with constrained goal models

In this section, we describe how to perform automated reasoning functionalities on CGMs by encoding them into SMT and OMT.

5.1 Encoding of constrained goal models

Definition 3 Let $\mathcal{M} \stackrel{\text{def}}{=} \langle \mathcal{B}, \mathcal{N}, \mathcal{D}, \Psi \rangle$ be a CGM. The $\text{SMT}(\mathcal{LRA})$ encoding of \mathcal{M} is the formula $\Psi_{\mathcal{M}} \stackrel{\text{def}}{=} \Psi \wedge \Psi_{\mathcal{R}} \wedge \Psi_{\mathcal{E}}$, where

$$\Psi_{\mathcal{R}} \stackrel{\text{def}}{=} \bigwedge_{(E_1, \dots, E_n) \xrightarrow{R} E, R \in \mathcal{R}} \left(\left(\bigwedge_{i=1}^n E_i \leftrightarrow R \right) \wedge (R \rightarrow E) \right), \quad (19)$$

$$\Psi_{\mathcal{E}} \stackrel{\text{def}}{=} \bigwedge_{E \in \text{Roots}(\mathcal{D}) \cup \text{Internals}(\mathcal{D})} \left(E \rightarrow \left(\bigvee_{R_i \in \text{Refinements}(E)} R_i \right) \right). \quad (20)$$

$\text{Roots}(\mathcal{D})$ and $\text{Internals}(\mathcal{D})$ being the root and internal elements of \mathcal{D} , respectively. We call $\Psi_{\mathcal{M}}$ the $\text{SMT}(\mathcal{LRA})$ Encoding of the CGM \mathcal{M} .

Notice that the formulas $\Psi_{\mathcal{R}}$ and $\Psi_{\mathcal{E}}$ in (19) and (20) encode directly points (a) and (b) in Definition 2, for every element and refinement in the CGM. In short, the $\Psi_{\mathcal{R}} \wedge \Psi_{\mathcal{E}}$ component of $\Psi_{\mathcal{M}}$ encodes the relation induced by the and-or goal graph \mathcal{D} in \mathcal{M} . The component Ψ is the formula described in point (c) in Definition 2, which encodes all Boolean and $\text{SMT}(\mathcal{LRA})$ constraints of the CGM, including relational edges, global and local formulas, user assertions, and the definitions of the numerical attributes and objectives.

Therefore, the following facts are straightforward consequences of Definitions 2 and 3 and of the definition and $\text{OMT}(\mathcal{LRA})$.

Proposition 1 Let $\mathcal{M} \stackrel{\text{def}}{=} \langle \mathcal{B}, \mathcal{N}, \mathcal{D}, \Psi \rangle$ be a CGM; let $\Psi_{\mathcal{M}}$ its $\text{SMT}(\mathcal{LRA})$ encoding as in Definition 3; let μ a \mathcal{LRA} -interpretation over $\mathcal{B} \cup \mathcal{N}$. Then μ is a realization of \mathcal{M} if and only if $\mu \models \Psi_{\mathcal{M}}$.

In short, Proposition 1 says that μ is a realization for the CGM \mathcal{M} if and only if μ is a model in $\text{SMT}(\mathcal{LRA})$ for the formula $\Psi_{\mathcal{M}}$. Therefore, a realization μ for \mathcal{M} can be

found by invoking a $\text{SMT}(\mathcal{LRA})$ solver on the CGM encoding $\Psi_{\mathcal{M}}$.

Proposition 2 *Let \mathcal{M} and $\Psi_{\mathcal{M}}$ be as in Proposition 1, and let μ be a realization of \mathcal{M} . Let $\{obj_1, \dots, obj_k\}$ be numerical objectives occurring in $\Psi_{\mathcal{M}}$. Then we have that:*

- (i) *for every i in $1, \dots, k$, μ minimizes [resp. maximizes] obj_i if and only if μ is a solution of the $\text{OMT}(\mathcal{LRA})$ minimization [resp. maximization] problem $\langle \Psi_{\mathcal{M}}, \langle obj_i \rangle \rangle$;*
- (ii) *μ lexicographically minimizes [resp. maximizes] $\langle obj_1, \dots, obj_k \rangle$ if and only if μ is a solution of the $\text{OMT}(\mathcal{LRA})$ lexicographic minimization [resp. maximization] problem $\langle \Psi_{\mathcal{M}}, \langle obj_1, \dots, obj_k \rangle \rangle$.*

In short, Proposition 1 says that μ is a realization for the CGM \mathcal{M} which optimizes lexicographically $\langle obj_1, \dots, obj_k \rangle$ if and only if μ is a model in $\text{SMT}(\mathcal{LRA})$ for the formula $\Psi_{\mathcal{M}}$ which optimizes lexicographically $\langle obj_1, \dots, obj_k \rangle$. Therefore, one such realization can be found by invoking a $\text{OMT}(\mathcal{LRA})$ solver on $\Psi_{\mathcal{M}}$ and $\langle obj_1, \dots, obj_k \rangle$. Notice that we are always looking for *one* realization at a time. Multiple realizations require multiple calls to the OMT solver.

5.2 Automated reasoning on constrained goal models

Propositions 1 and 2 suggest that realizations of a CGM \mathcal{M} can be produced by applying $\text{SMT}(\mathcal{LRA})$ solving to the encoding $\Psi_{\mathcal{M}}$ and that *optimal* realizations can be produced by applying $\text{OMT}(\mathcal{LRA})$ to $\Psi_{\mathcal{M}}$ and a list of defined objectives obj_1, \dots, obj_k . (Notice that such list may include also the pre-defined objectives **Weight**, **numUnsatRequirements**, **numSatTasks** and **numUnsatPrefs** of Sect. 3 and (18) to be minimized.) This allowed us to implement straightforwardly the following reasoning functionalities on CGMs by interfacing with a SMT/OMT tool.

Search/enumerate realizations Stakeholders can automatically check the realizability of a CGM \mathcal{M} —or to enumerate one or more of its possible realizations— under a group of user assertions and of user-defined Boolean and $\text{SMT}(\mathcal{LRA})$ constraints; the tool performs this task by invoking the SMT solver on the formula $\Psi_{\mathcal{M}}$ of Definition 3.

Search/enumerate minimum-penalty/maximum reward realizations Stakeholders can assert the desired requirements and set penalties of tasks; then, the tool finds automatically realizations achieving the former while minimizing the latter, by invoking the OMT solver on $\Psi_{\mathcal{M}}$ with the pre-defined **Weight** objective. The vice versa is obtained by negating undesired tasks and setting

the rewards of nice-to-have requirements. Every intermediate situations can also be obtained.

Search/enumerate optimal realizations w.r.t. pre-defined/user-defined objectives Stakeholders can define their own objective functions obj_1, \dots, obj_k over goals, refinements and their numerical attributes; then, the tool finds automatically realizations optimizing them, either independently or lexicographically, by invoking the OMT solver on $\Psi_{\mathcal{M}}$ and obj_1, \dots, obj_k . User-defined objectives can also be combined with the pre-defined ones, like **Weight**, **numUnsatRequirements**, **numSatTasks** and **numUnsatPrefs**.

In particular, notice that **numUnsatPrefs** allows for addressing the fulfilment of the maximum number of binary preferences as the optimization of a pre-defined objective.

Example 1 As a potentially frequent scenario, stakeholders may want to find a realization which minimizes, in order of preference, the number of unsatisfied non-mandatory requirements, the number of unsatisfied binary preferences, and the number of satisfied tasks. This can be achieved by setting the following ordered list of pre-defined objectives to minimize lexicographically:

$\langle \text{numUnsatRequirements}, \text{numUnsatPrefs}, \text{numSatTasks} \rangle$.

Notice that all the above actions can be performed *interactively* by marking an unmarking (nice-to-have) requirements, tasks and domain assumptions, each time searching for a suitable or optimal realization.

Importantly, when a CGM is found unrealizable under a group of user assertions and of user-defined Boolean and $\text{SMT}(\mathcal{LRA})$ constraints, it highlights the sub-parts of the CGM and the subset of assertions causing the problem. This is implemented by asking the SMT/OMT solver to identify the *unsatisfiable core* of the input formula—i.e. the subset of sub-formulas which caused the inconsistency, see, for example, [9]—and mapping them back into the corresponding information.

6 Implementation

CGM-Tool provides support for modelling and reasoning on CGMs. Technically, CGM-Tool is a stand-alone application written in Java and its core is based on eclipse RCP engine. Under the hood, it encodes CGMs and invokes the OptiMathSAT⁶ SMT/OMT solver [39] to support

⁶ <http://optimathsat.disi.unitn.it>.

reasoning on goal models. It is freely distributed as a compressed archive file for multiple platforms.⁷ CGM-Tool supports:

Specification of projects CGMs are created within the scope of project containers. A project contains a set of CGMs that can be used to generate reasoning sessions with OptiMathSAT (i.e. scenarios);

Diagrammatic modelling the tool enables the creation (drawing) of CGMs in terms of diagrams; furthermore, it enhances the modelling process by providing real-time check for refinement cycles and by reporting invalid refinement, contribution and binding links;

Consistency/well-formedness check CGM-Tool allows for the creation of diagrams conform with the semantics of the modelling language by providing the ability to run consistency analysis on the model;

Automated reasoning CGM-Tool provides the automated reasoning functionalities of Sect. 5.2 by encoding the model into an SMT formula. The results of OptiMathSAT are shown directly on the model as well as in a tabular form.

One essential feature of the tool is that expressive constructs (which may be more complex and difficult to use) are only available on demand: there are easy-to-use default settings for everything, so that the user can decide the level of expressiveness he/she feels at ease with.

CGM-Tool extends the STS-Tool [32] as an RCP application by using the major frameworks shown in Fig. 5: *rich client platform* (RCP), a platform for building rich client applications, made up of a collection of low-level frameworks such as OSGi, SWT, JFace, and Equinox, which provide us a workbench where to get things like menus, editors, and views; *graphical editing framework* (GEF), a framework used to create graphical editors for graphical modelling tools (e.g. tool palette and figures which can be used to graphically represent the underlying data model concepts); *eclipse modelling framework* (EMF), a modelling framework and a code generation facility for building tools and applications based on a structured data model.

With CGM-Tool, a CGM is built progressively as a sequence of *scenarios*, which are versions of the CGM to which the automated reasoning functionalities of the CGM-Tool can be applied. Figure 6 shows the graphical user interface (GUI) of the tool. Figures 7 and 8 show, respectively, how to define a numerical attribute of an element and how to set its value. Figure 9 shows how to set objective functions from the numerical attributes (e.g. set the priorities, choose the form of optimization (maximize/minimize), ...). Figure 10 shows how to define the global

constraints in the model. Figures 11 and 12 show how to create and open a scenario. Figure 13 shows how the user assertions can be added by using the option “Force True” (element that must be included in the realization) and “Force False” (element that must not be included in the realization). Figure 14 shows how to automatically generate a realization for the current scenario by invoking the automated reasoning functionalities.

7 Scalability of the reasoning tool

We address the issue of the scalability of the automated reasoning functionalities of Sect. 5.2 w.r.t. the size of CGMs, by providing an empirical evaluation of the performance of CGM-Tool on increasingly large CGMs. (For the sake of readability, here we provide only a qualitative description, whereas the data and plots are reported in an “Appendix”.) As in Sect. 3, all experiments have been run on a MacBook Air laptop, Intel Core i5 1.8 GHz, 2 cores, 256 kb L2 Cache per Core, 3 MB L3 Cache, 4 GB RAM.

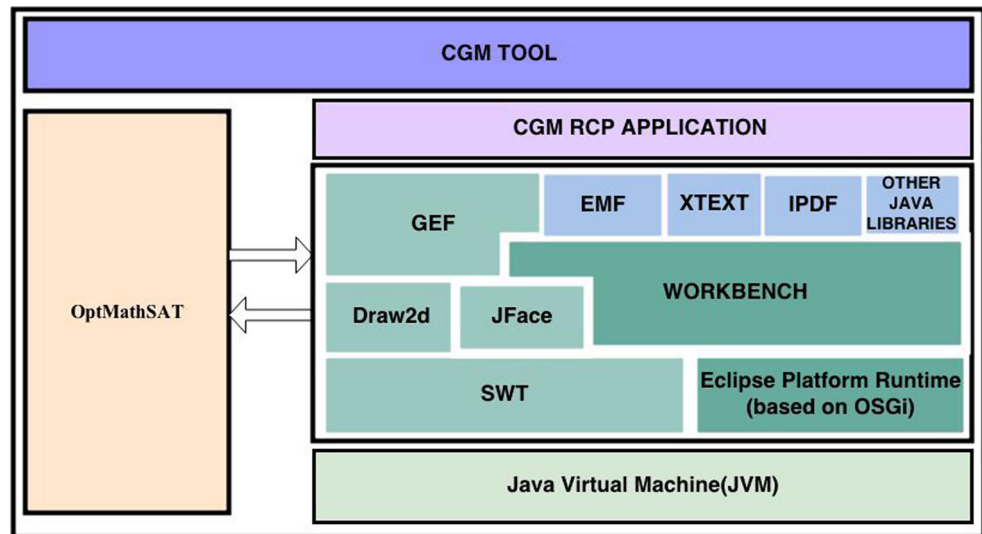
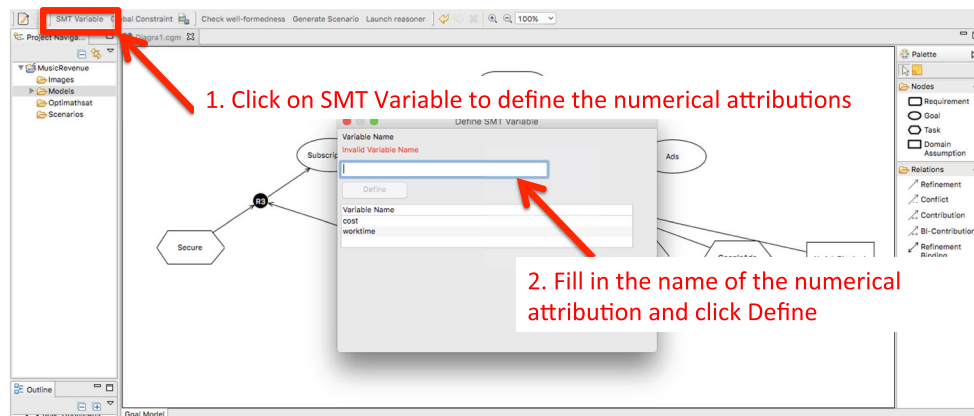
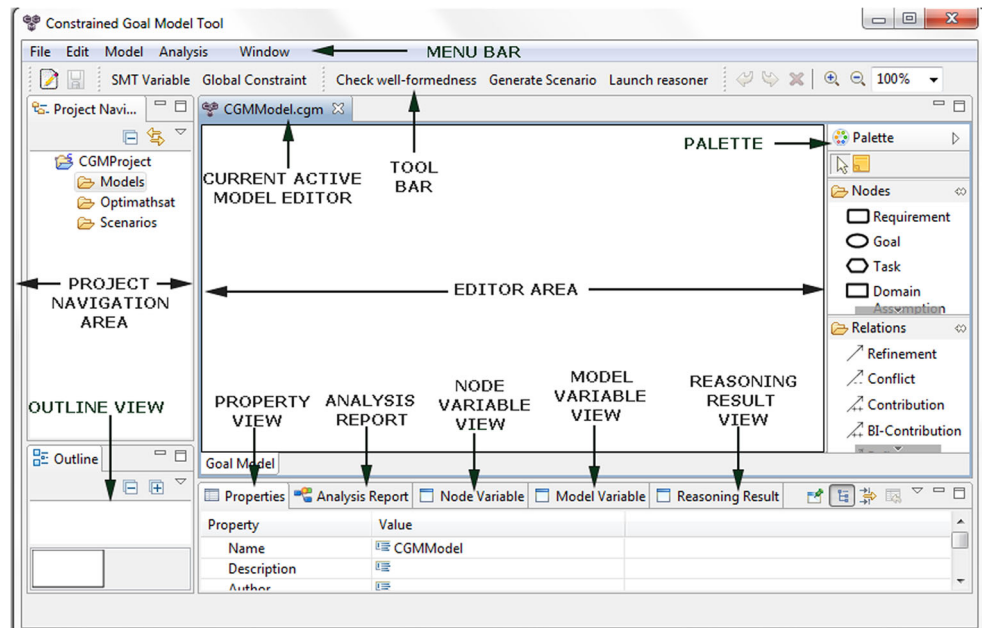
For the readers’ convenience, a compressed directory containing all the material to reproduce these experiments (models, tools, scripts, etc.) is available at <http://www.cgm-tool.eu/experiment-version/>.

We consider first the schedule-meeting CGM of Sect. 3 as a seed model. The model consists in 32 goals—among which there are one mandatory requirement, four nice-to-have requirements, and 18 tasks—plus 20 refinements and two domain assumptions, totalling 54 nodes. The CGM contains also three numerical objectives: *cost*, *workTime*, and *Weight*. The user-defined objectives *cost* and *workTime* involve, respectively, two and five tasks and no requirement, while the pre-defined attributes *Weight* involves 16 tasks plus all four non-mandatory requirements. This involves $3 + 2 + 5 + 0 + 0 + 16 + 4 = 30$ rational variables (recall Remark 7). There are also three binary preference relations (15).

In the example reported in Sect. 3 with different configurations, the tool returned the optimal solutions in negligible time (all took <0.02 s). This is not surprising: as mentioned in Sect. 2.1, in previous empirical evaluation of OMT-encoded problems from formal verification, OptiMathSAT successfully handled optimization problems with up to 1000s Boolean/rational variables [38], so that hand-made CGMs resulting into SMT formulas with few tens of Boolean and rational variables, like that in Sect. 3, are not a computational challenge.

In perspective, since CGM-Tool is supposed to be used to design CGMs representing possibly large projects, we wonder how its automated reasoning functionalities will scale on large models. To do this, we choose to build benchmark CGMs of increasing size, by combining

⁷ <http://www.cgm-tool.eu/>.

Fig. 5 CGM-Tool: component view**Fig. 6** CGM-Tool: graphical user interface as in the tool manual [27] (green notes are for description) (color figure online)**Fig. 7** CGM-Tool: how to define numerical attributes (instructions in red) (color figure online)

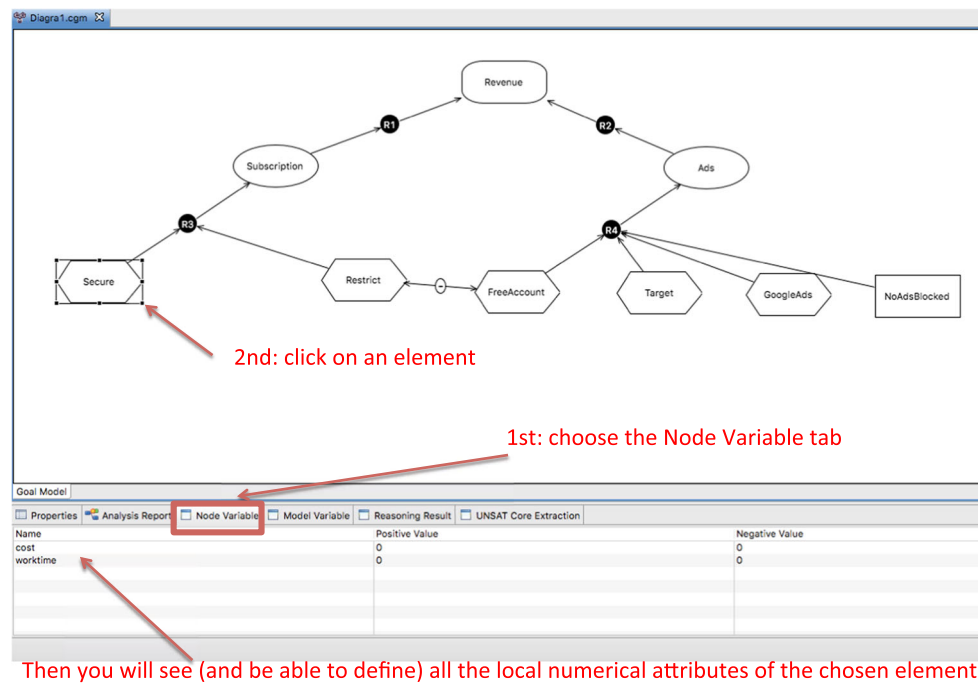


Fig. 8 CGM-Tool: how to define the value of the numerical attributes associated with elements (instructions in red) (color figure online)

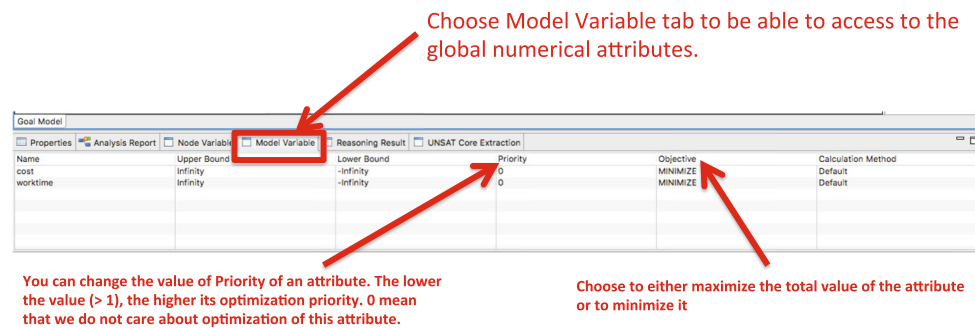


Fig. 9 CGM-Tool: how to define objectives from numerical attributes (instructions in red) (color figure online)

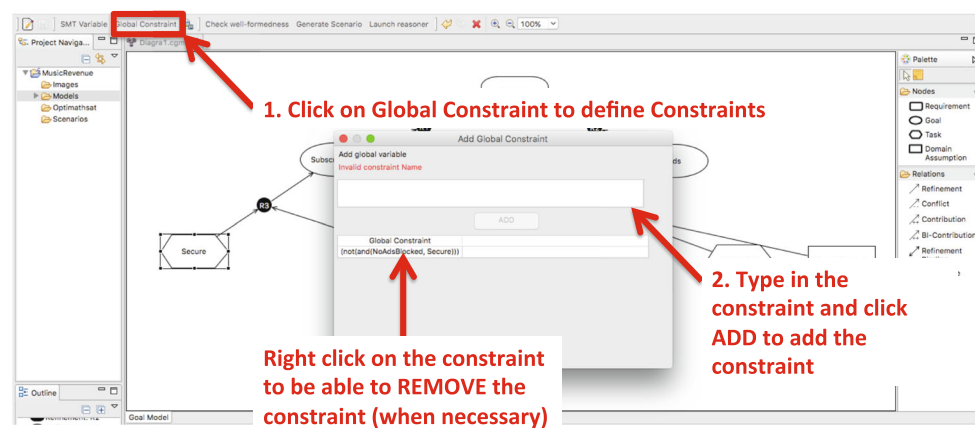


Fig. 10 CGM-Tool: how to define global constraints (instructions in red) (color figure online)

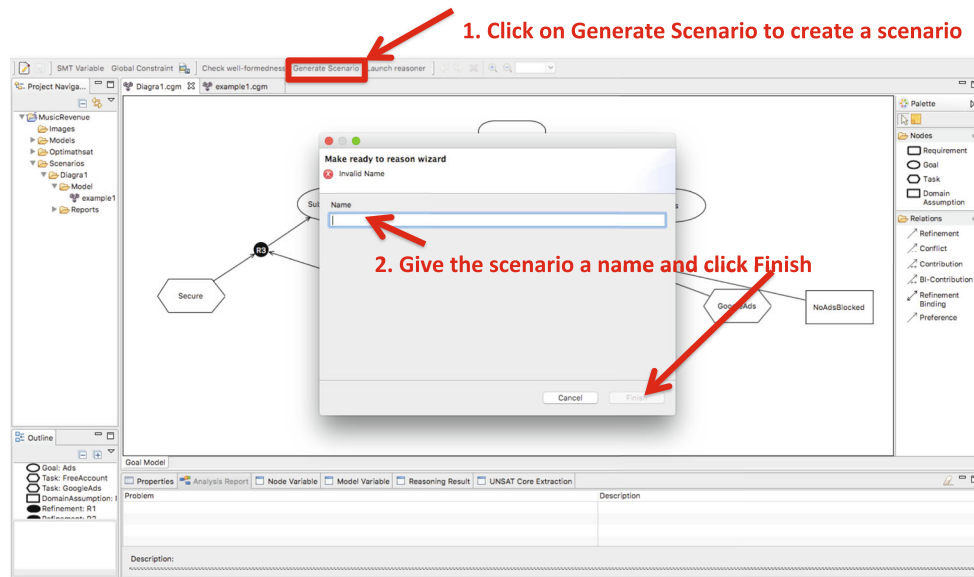


Fig. 11 CGM-Tool: how to create a scenario (instructions in red) (color figure online)

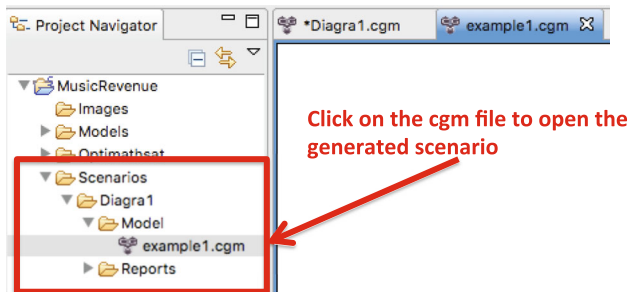


Fig. 12 CGM-Tool: how to open the created scenario (instructions in red) (color figure online)

different instances of the schedule-meeting CGM of Sect. 3 in various ways and testing them with different combination of objectives.

7.1 Experiment setup

In all our experiments, CGMs were produced as follows, according to three positive integer parameters N , k , and p , and some choices of objectives.

Given N and k , we pick N distinct instances of the schedule-meeting CGM of Sect. 3, each with a fresh set of Boolean labels and rational variables, we create an artificial root goal G with only one refinement R whose source goals are the N mandatory requirements “ScheduleMeeting _{i} ” of each CGM instance. Hence, the resulting CGM has $54 \cdot N + 2$ nodes and $30 \cdot N$ rational variables (see Table 3). In another group of experiments (see Table 2), we dropped the non-mandatory requirements and their four direct sub-tasks, so that each instance contains 24 goals, two domain

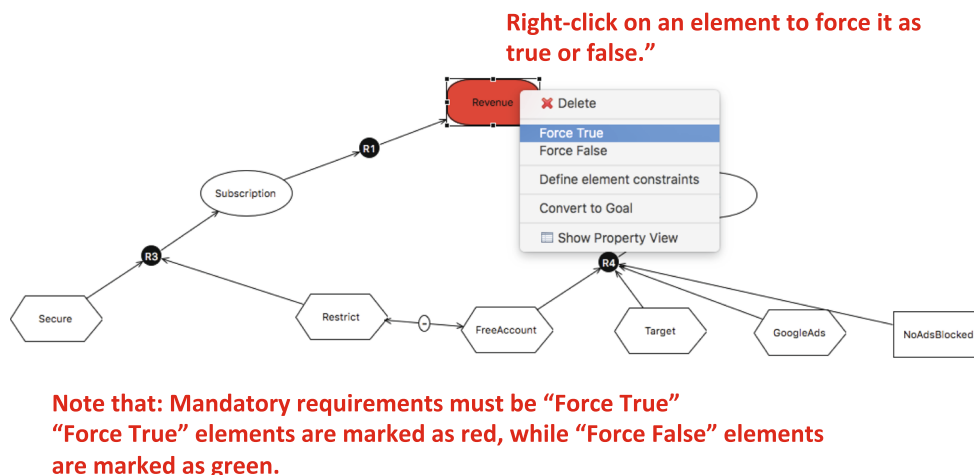


Fig. 13 CGM-Tool: how to add user’s assertions (instructions in red) (color figure online)

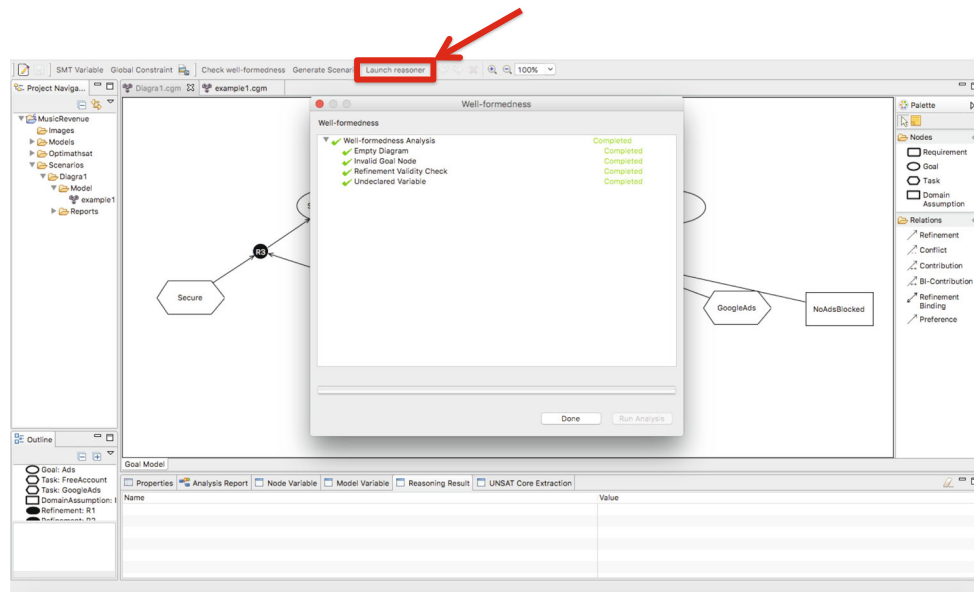


Fig. 14 CGM-Tool: how to automatically generate a realization: click on *Launch Reasoner* in the menu (instructions in red) (color figure online)

Table 2 First group of experiments, summary of experimental data

Experiment	Number of instances	Number of replicas (N)	Number of goals	Number of refinements	Number of domain assumptions	Total number of nodes	Number of rational variables
1	100	2	49	37	4	90	52
2	100	3	73	55	6	134	78
3	100	4	97	73	8	178	104
4	100	5	121	91	10	222	130
5	100	6	145	109	12	266	156
6	100	7	169	127	14	310	182
7	100	9	217	163	18	398	234
8	100	11	265	199	22	486	286
9	100	13	313	235	26	574	338
10	100	15	361	271	30	662	390
11	100	17	409	307	34	750	442
12	100	21	505	379	42	926	546
13	100	26	625	469	52	1146	676
14	100	31	745	559	62	1366	806
15	100	36	865	649	72	1586	936
16	100	41	985	739	82	1806	1066
17	100	46	1105	829	92	2026	1196
18	100	51	1225	919	102	2246	1326
19	100	101	2425	1819	202	4446	2626
20	100	151	3625	2719	302	6646	3926
21	100	201	4825	3619	402	8846	5226

assumptions, and 18 refinements, and the resulting CGM has $44 \cdot N + 2$ nodes and $26 \cdot N$ rational variables.

Then we randomly add $(k - 1) \cdot N$ contribution relations “ \rightarrow ” and N conflict relations “ \leftarrow ” between tasks belonging to different instances. When binary preference

relations are involved, we also randomly add $p \cdot N$ binary preference relations, each involving two refinements of one same goal.

In each group of experiments, we fix the definition of the objectives and we set the value of k (and p when it applies)

Table 3 Second group of experiments, summary of experimental data

Experiment	Number of instances	Number of replicas (N)	Number of goals	Number of refinements	Number of domain assumptions	Total number of nodes	Number of rational variables
1	100	2	65	41	4	110	60
2	100	3	97	61	6	164	90
3	100	4	129	81	8	218	120
4	100	5	161	101	10	272	150
5	100	6	193	121	12	326	180
6	100	7	225	141	14	380	210
7	100	9	289	181	18	488	270
8	100	11	353	221	22	596	330
9	100	13	417	261	26	704	390
10	100	15	481	301	30	812	450
11	100	17	545	341	34	920	510
12	100	21	673	421	42	1136	630
13	100	26	833	521	52	1406	780
14	100	31	993	621	62	1676	930
15	100	36	1151	721	72	1946	1080
16	100	41	1313	821	82	2216	1230
17	100	46	1473	921	92	2486	1380
18	100	51	1633	1021	102	2756	1530
19	100	101	3233	2021	202	5456	3030
20	100	151	4833	3021	302	8156	4530
21	100	201	6433	4021	402	10,856	6030

and increase the values of N . For every choice of N , we automatically⁸ generate 100 instances of random problems as in the above schema, which we feed to our tool, and collect the median CPU times over the solved instances—including both encoding and solving times—as well as the number of unrealizable instances as well as the number of instances which OptiMathSAT could not solve within a timeout of 1000 s.

Notice that, following some ideas from a different context [20, 33], the parameters N , k , and p have been chosen so that to allow us to *increase monotonically* and *tune* some essential features of the CGMs under test, which may significantly influence the performances. For example,

- N increases linearly the number of Boolean and rational variables,
- k (and, to some extent, p) increases the connectivity of the graph and the ratio between unrealizable and realizable CGMs.
- Importantly, k and p also play an essential role in drastically reducing the *symmetry* of the resulting CGMs and insert some degree of randomness.

⁸ To perform this test automatically, we developed an automated problem generator/manipulator which interfaces directly with the internal data structure representing the CGMs inside CGM-Tool.

Another important parameter, which we borrowed from the schedule-meeting CGM, is the number of Boolean atoms per objective.

Remark 8 We are aware that the CGMs produced with this approach may not represent *realistic* problems. However, we stress the fact that here we focus only on providing a test on the *scalability* of our automated reasoning functionalities.

7.2 Results

We run two groups of experiments in which we focus on optimizing, respectively:

- *numerical attributes* like cost, worktime, penalty/rewards;
- *discrete features* like the number of binary preferences, of want-to-have requirements and of tasks to accomplish.

In the first group of experiments, we consider the reduced version of the CGMs (i.e. without nice-to-have requirements) without random binary preference relations. We fix $k = 2, 4, 5, 8$. In each setting, we run experiments on three functionalities:

- plain realizability check (without objectives),

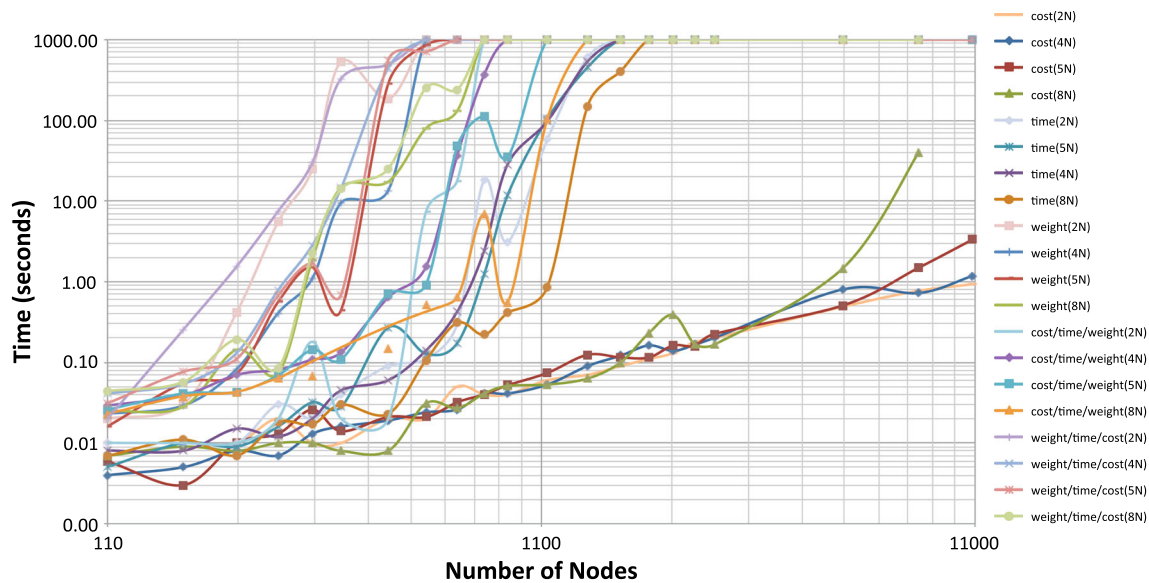


Fig. 15 First group of experiments: overall median CPU times over solved instances. The name of each plot denotes the cost function used and the value of N : e.g. *cost/time/weight* (2N) denotes

- (b) single-objective optimization on **cost**, **workTime**, and **Weight**, respectively,
- (c) lexicographic optimization, respectively, on $\langle \text{cost}, \text{workTime}, \text{Weight} \rangle$ and on $\langle \text{Weight}, \text{workTime}, \text{cost} \rangle$.

Figure 15 shows the overall median CPU time over the solved instances of the first group of experiments, which are plotted against the total number of nodes of the CGM under test.⁹ (For more details about the experiment data and the median CPU time over the solved instances for each special case, please see Tables 4, 5, 6, and 7, Figs. 17, 18, 19, and 20 in “Appendix”.)

First, we notice that checking the realizability of the CGM, that is, finding one realization or verifying there is none, requires negligible time, even with huge CGMs (>8000 nodes, >5000 rational variables) and even when the CGM is not realizable. Second, the time taken to find optimal solutions on single objectives seems to depend more on the number of variables in the objective than on the actual size of the CGM: for **cost** ($2 \cdot N$ variables) the solver can find optimum solutions very quickly even with huge CGMs (>8000 nodes, >5000 rational variables) while with **Weight** ($16 \cdot N$ variables) it can handle problems of up to ≈ 400 nodes and ≈ 200 rational variables. Third, lexicographic optimization takes more time than single-objective optimization, but the time mostly depends on the first objective in the list.

⁹ The choice of using the total number of nodes for the X axis in all our plots aims at providing an eye-catching indication of the actual size of the CGMs under test.

the lexicographic optimization of $\{\text{cost}, \text{WorkTime}, \text{Weight}\}$ on problems built on $N = 2$ replicas

In the second group of experiments, we consider the full version of the CGMs (with nice-to-have requirements) and introduce the random binary preference relations. We fix $k = 2$, and we run different experiments for $p = 6$, $p = 8$ and $p = 12$. In each setting, we run experiments on three functionalities:

- (a) plain realizability check (without objectives),
- (b) lexicographic optimization on $\langle \text{numUnsatPrefs}, \text{numUnsatRequirements}, \text{numSatTasks} \rangle$ (PRT),
- (c) lexicographic optimization on $\langle \text{numUnsatRequirements}, \text{numUnsatPrefs}, \text{numSatTasks} \rangle$ (RPT).

Figure 16 shows the overall median CPU time over the solved instances of the second group of experiments. (For more details about the experiment data and the median CPU time over the solved instances for each special case, please see Tables 8, 9, and 10 Figs. 21, 22, and 23 in “Appendix”.)

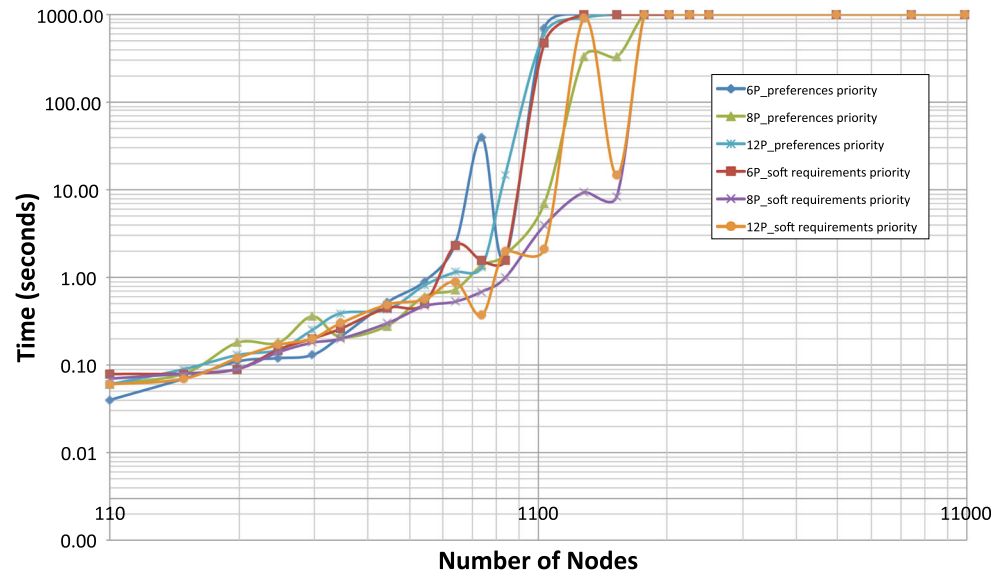
First, checking realizability is accomplished in negligible time even with huge CGMs ($>10,000$ nodes, >6000 rational variables), as before. Second, we notice that optimal solutions, even with a three-level lexicographic combination of objectives, can be found with large CGMs (>1000 nodes, >600 rational variables).

On the negative side, for some problems, in particular large ones with objectives involving large amounts of elements, we notice that the search for the optimal realization could not be accomplished within the timeout.

To this extent, a few remarks are in order.

First, when interrupted by a timeout, OptiMathSAT can be instructed to return the current best solution. Since

Fig. 16 Second group of experiments: overall median CPU times over solved instances



OptiMathSAT typically takes most of its time in fine-tuning the optimum and in checking there is no better one (see [38]), we envisage that good sub-optimal solutions can be found even when optimal ones are out of reach.

Second, our CGMs are very large in breadth and small in depth, with a dominating percentage of tasks over the total number of goals. We envisage that this may have made the number of variables in the sums defining **Weight** and **numSatTasks** unrealistically large wrt. the total size of the CGMs. This underscores the need for further experimentation to confirm the scalability of our proposal.

Third, in our experiments we did not consider user assertions which, if considered, would force deterministic assignments and hence reduce drastically the size of the OMT search space.

Fourth, OMT is a recent technology [37], which is progressing at a very high pace, so that it is reasonable to expect further performance improvements for the future versions of OMT tools. In particular, a recent enhancement for handling Pseudo-Boolean cost functions as in (18) has provided interesting preliminary results [41].

Overall, our evaluation showed that CGM-Tool always checks the realizability of huge CGMs in negligible time and finds optimal realizations on problems whose size ranges from few 100s to 1000s of nodes, mostly depending on the number of variables involved in the objective functions.

8 Related work

We next offer a quick overview of, and comparison with some the state-of-the-art goal-oriented modelling languages. Lapouchnian [24], Jureta et al. [23], and Borgida

et al. [6] provide better and deeper comparisons on requirements modelling languages and the goal-oriented approach, including their advantages and limitations.

8.1 KAOS

KAOS [11] supports a rich ontology for requirements that goes well beyond goals, as well as a linear temporal logic (LTL)-grounded formal language for constraints. This language is coupled with a concrete methodology for capturing and analysing requirements problems. KAOS supports a number of analysis techniques, including obstacle, inconsistency, and probabilistic goal analysis. However, unlike our proposal, KAOS does not support nice-to-have requirements and preferences, nor does it exploit SAT/SMT solver technologies for scalability.

8.2 Sebastiani et al.

Sebastiani et al. [18, 36] propose a formal goal modelling language that supports scalable reasoning using SAT-solving techniques. Our proposal subsumes that work in many ways, including a more expressive language and much more advanced SMT/OMT-solving technology.

There is one construct of [18, 36] that was left out of the CGM language: + and − contributions from goals to goals. There are several reasons for this decision. In unconstrained goal models, formalizing (+, −) contributions require a four-value logic (fully/partially satisfied/denied). In principle, our CGM framework could be extended to such a logic, with the following drawbacks:

- (a) The size of the Boolean search space would extend from 2^N to 4^N . Given that reasoning functionality in

this paper is much more sophisticated and computationally more demanding than those in our earlier papers, this might drastically reduce the efficiency of the approach.

- (b) Unlike standard two-value logic, which allows us to give a clear semantics of “realization”, without any vagueness, it is not obvious to us what a “realization” could be in such logic. (E.g. should realizations admit partially satisfied/denied tasks/requirements/assumptions? If yes, how should an user interpret a partially satisfied/denied requirement/task/assumption in a realization returned by the system? In which sense a realization involving partial values can be considered “optimal” or “optimum”?)

There are other differences between the two proposals. In CGMs, we have made and/or-decompositions explicit by making refinement a first-class citizen that can be named and talked about (see Fig. 4 and Remark 5). Moreover, unlike with [18, 36], we have a backbone and/or DAG, where arbitrary constraints can be added. This DAG is such that a non-leaf goal is equivalent to the disjunction (“or”) of its refinements, and each refinement is equivalent to the conjunction (“and”) of its source goals. Relation edges, constraints, and assertions further constrain this structure.

8.3 i^* and Tropos

i^* [43] focuses on modelling actors for a requirements engineering problem (stakeholders, users, analysts, etc.), their goals and interdependencies. i^* provides two complementary views of requirements: the actor strategic dependency model (SD model) and the actor strategic rationale model (SR model). Typically, SD models are used to analyse alternative networks of delegations among actors for fulfilling stakeholder goals, while SR models are used to explore alternative ways of fulfilling a single actor’s goals. i^* is expressively lightweight, intended for early stages of requirements analysis, and did not support formal reasoning until recent thesis work by Horkoff [19]. Tropos [7] is a requirement-driven agent-oriented software development methodology founded on i^* . Goal models can be formalized in Tropos by using Formal Tropos [17], an extension of i^* that supports LTL for formalizing constraints. The main deficiencies of this work relative to our proposal are that Formal Tropos is expressive but not scalable.

8.4 Techne and Liaskos

Techne [22] is a recent proposal for a family of goal modelling languages that supports nice-to-have goals and preferences, but it is strictly propositional and uses hand-crafted algorithms and therefore does not support

optimization goals. Ernst et al. [15] constitutes a first attempt to reason with nice-to-have requirements (aka preferences). The scalability experiments conducted used the SAT solver of Sebastiani et al. [36] and added local search algorithms to deal with preferences. All experiments were conducted on a model with about 500 elements and the search algorithms returned maximal consistent solution but also near solutions. Ernst et al. [12] focuses on finding new solutions for a goal model that has changed (new goals were added/removed), such that the change minimizes development effort (EvoR1) or maximizes familiarity (EvoR2). Note that EvoR1, EvoR2 are evolution requirements. The paper uses a truth-maintenance system (TMS) and builds algorithms on top for finding solutions to EvoR1, EvoR2 that “repair” the previous solution and construct a new one. The search algorithms would need to be redone if we used different evolution algorithms, unlike the CGM tool where you can formally express EvoR1, EvoR2 or variants, and search is handle by the backend OMT/SMT solver. Ernst et al. [13, 14] continue the study of reasoning with Techne models and use SAT solvers and hand-crafted search algorithms to establish scalability for models size $O(1K)$. Nevertheless, the resulting tools from this work still cannot handle quantitative optimization problems and other features of CGMs.

Liaskos [25, 26] has proposed extensions to qualitative goal models to support nice-to-have goals and preferences, as well as decision-theoretic concepts such as utility. This proposal is comparable to our proposal in this paper, but uses AI reasoners for reasoning (AI planners and GOLOG) and, consequently, does not scale very well relative to our proposal.

8.5 Feature models

Feature models [10] share many similarities with goal models: they are hierarchically structured, with AND/OR refinements, constraints, and attributes. However, each feature represents a bundle of functionality or quality and as such, feature models are models of software configurations, not requirements. Moreover, reasoning techniques for feature models are limited relative to their goal model cousins.

8.6 Search-based software engineering

Scalable reasoning for optimization problems has been studied by Harman et al. in the context of formalizing and solving the next release problem [44]: given a set of preferences with associated cost and customer value attributes, select a subset of preferences to be included in the next release that optimizes given attributes. That work uses genetic algorithms and other search techniques that may

return close-to-optimal solutions and use heuristics (meaning that reasoning is not complete).

9 Conclusions and future work

We have proposed an expressive goal-based modelling language for requirements that supports the representation of nice-to-have requirements, preferences, optimization requirements, constraints and more. Moreover, we have exploited automated reasoning solvers in order to develop a tool that supports sound and complete reasoning with respect to such goal models and scales well to goal models with thousands of elements. Our proposal advances the state of the art on goal modelling and reasoning with respect to both expressiveness and scalability of reasoning.

The contributions of this work are being exploited in several directions. Aydemir et al. [4] has proposed an expressive modelling framework for the next release problem that is founded on the same OMT/SMT solver technology as this work. Angelopoulos et al. [1] has offered a formalization of the next adaptation problem that chooses a next adaptation for an adaptive software system that minimizes the degree of failure over existing requirements. And Nguyen et al. [30] has exploited CGMs to capture evolution requirements, such as “System evolution shall minimize implementation costs” and showed how to conduct scalable reasoning over models that include such requirements.

As future work, we have planned to do an empirical validation of the CGM-Tool with modellers and domain

experts. We are currently working in this direction within our research group with PhD students and postdocs who are expert in the modelling field. Next, we will extend the validation to industrial experts of different domains. We have also planned to do different case studies with real-life-complex-large-scale goal models of a specific domain, such as Air-Traffic Control Management, healthcare, and smart cities and smart environments.

Our proposal does not address another notorious scalability problem of goal models, namely scalability-of-use. Goal models have been shown empirically to become more difficult to conceptualize and comprehend as they grow in size [16], and therefore become unwieldy for use. As with other kinds of artifacts (e.g. programs and ontologies) where scalability-of-use is an issue, the solution lies in introducing modularization facilities that limit interactions between model elements and make the resulting models easier to understand and evolve. This is an important problem on our agenda for future research on goal models.

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Appendix: Data tables and plots

First group of experiments

See Tables 4, 5, 6, 7 and Figs. 17, 18, 19, 20.

Table 4 First group of experiments, $k = 2$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of rational variables	% Unrealizable	Solving time	Time for proving unrealizable	Optimum cost ($2N$ terms)	
								Optimization time	% Timeout
1	100	2	90	52	1	0.00	0.00	0.00	0
2	100	3	134	78	1	0.00	0.00	0.01	0
3	100	4	178	104	3	0.00	0.00	0.01	0
4	100	5	222	130	3	0.00	0.00	0.02	0
5	100	6	266	156	2	0.00	0.00	0.01	0
6	100	7	310	182	5	0.00	0.00	0.01	0
7	100	9	398	234	7	0.00	0.00	0.02	0
8	100	11	486	286	4	0.00	0.00	0.02	0
9	100	13	574	338	7	0.00	0.00	0.05	0
10	100	15	662	390	13	0.00	0.00	0.04	0
11	100	17	750	442	15	0.00	0.00	0.04	0
12	100	21	926	546	14	0.00	0.00	0.06	0
13	100	26	1146	676	13	0.00	0.00	0.07	0
14	100	31	1366	806	14	0.00	0.00	0.09	0
15	100	36	1586	936	19	0.00	0.00	0.11	0
16	100	41	1806	1066	26	0.00	0.00	0.13	0
17	100	46	2026	1196	24	0.00	0.00	0.18	0
18	100	51	2246	1326	32	0.00	0.00	0.20	0
19	100	101	4446	2626	49	0.00	0.00	0.49	0
20	100	151	6646	3926	68	0.00	0.00	0.77	0
21	100	201	8846	5226	71	0.00	0.00	0.93	0
Experiment	Optimum time ($5N$ terms)		Optimum weight ($16N$ terms)		Lexic. order cost time weight		Lexic. order weight time cost		
	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout	
1	0.01	0	0.02	0	0.01	0	0.02	0	
2	0.01	0	0.03	0	0.01	0	0.25	0	
3	0.01	0	0.41	0	0.01	0	1.53	0	
4	0.03	0	5.51	0	0.02	0	7.48	0	
5	0.02	0	24.74	0	0.18	0	29.74	2	
6	0.04	0	533.90	19	0.02	0	329.34	30	
7	0.09	0	185.23	84	0.02	4	494.33	87	
8	0.11	0	–	–	7.29	30	–	–	
9	0.30	0	–	–	17.98	83	–	–	
10	18.13	0	–	–	–	–	–	–	
11	3.11	0	–	–	–	–	–	–	
12	58.08	11	–	–	–	–	–	–	
13	600.99	78	–	–	–	–	–	–	
14	–	–	–	–	–	–	–	–	
15	–	–	–	–	–	–	–	–	
16	–	–	–	–	–	–	–	–	
17	–	–	–	–	–	–	–	–	
18	–	–	–	–	–	–	–	–	
19	–	–	–	–	–	–	–	–	
20	–	–	–	–	–	–	–	–	
21	–	–	–	–	–	–	–	–	

Table 5 First group of experiments, $k = 4$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of rational variables	% Unrealizable	Solving time	Time for proving unrealizable	Optimum cost ($2N$ terms)	
								Optimization time	% Timeout
1	100	2	90	52	2	0.00	0.00	0.00	0
2	100	3	134	78	1	0.00	0.00	0.01	0
3	100	4	178	104	2	0.00	0.00	0.01	0
4	100	5	222	130	4	0.00	0.00	0.01	0
5	100	6	266	156	7	0.00	0.00	0.01	0
6	100	7	310	182	7	0.00	0.00	0.02	0
7	100	9	398	234	7	0.00	0.00	0.02	0
8	100	12	486	286	10	0.00	0.00	0.02	0
9	100	13	574	338	9	0.00	0.00	0.03	0
10	100	15	662	390	11	0.00	0.00	0.04	0
11	100	17	750	442	9	0.00	0.00	0.04	0
12	100	21	926	546	15	0.00	0.00	0.05	0
13	100	26	1146	676	22	0.00	0.00	0.09	0
14	100	31	1366	806	25	0.00	0.00	0.12	0
15	100	36	1586	936	27	0.00	0.00	0.16	0
16	100	41	1806	1066	32	0.00	0.00	0.14	0
17	100	46	2026	1196	36	0.00	0.00	0.17	0
18	100	51	2246	1326	40	0.00	0.00	0.20	0
19	100	101	4446	2626	55	0.00	0.00	0.80	0
20	100	151	6646	3926	77	0.00	0.00	0.72	0
21	100	201	8846	5226	85	0.00	0.00	1.18	0

Experiment	Optimum time ($5N$ terms)		Optimum weight ($16N$ terms)		Lexic. order cost time weight		Lexic. order weight time cost	
	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout
1	0.01	0	0.02	0	0.03	0	0.04	0
2	0.01	0	0.03	0	0.04	0	0.05	0
3	0.02	0	0.08	0	0.06	0	0.13	0
4	0.01	0	0.41	0	0.08	0	0.79	0
5	0.02	0	1.09	0	0.11	0	2.82	0
6	0.05	0	9.53	4	0.13	0	14.47	4
7	0.06	0	13.54	56	0.64	0	447.13	67
8	0.14	0	–	–	1.53	5	–	–
9	0.43	0	–	–	36.78	23	–	–
10	2.42	0	–	–	368.94	55	–	–
11	28.45	0	–	–	–	–	–	–
12	97.86	2	–	–	–	–	–	–
13	537.73	62	–	–	–	–	–	–
14	–	–	–	–	–	–	–	–
15	–	–	–	–	–	–	–	–
16	–	–	–	–	–	–	–	–
17	–	–	–	–	–	–	–	–
18	–	–	–	–	–	–	–	–
19	–	–	–	–	–	–	–	–
20	–	–	–	–	–	–	–	–
21	–	–	–	–	–	–	–	–

Table 6 First group of experiments, $k = 5$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of rational variables	% Unrealizable	Solving time	Time for proving unrealizable	Optimum cost ($2N$ terms)	
								Optimization time	% Timeout
1	100	2	90	52	4	0.00	0.00	0.01	0
2	100	3	134	78	3	0.00	0.00	0.00	0
3	100	4	178	104	6	0.00	0.00	0.01	0
4	100	5	222	130	6	0.00	0.00	0.01	0
5	100	6	266	156	7	0.00	0.00	0.03	0
6	100	7	310	182	5	0.00	0.00	0.01	0
7	100	9	398	234	7	0.00	0.00	0.02	0
8	100	11	486	286	9	0.00	0.00	0.02	0
9	100	13	574	338	17	0.00	0.00	0.03	0
10	100	15	662	390	14	0.00	0.00	0.04	0
11	100	17	750	442	13	0.00	0.00	0.05	0
12	100	21	926	546	24	0.00	0.00	0.07	0
13	100	26	1146	676	27	0.00	0.00	0.12	0
14	100	31	1366	806	32	0.00	0.00	0.12	0
15	100	36	1586	936	33	0.00	0.00	0.12	0
16	100	41	1806	1066	33	0.00	0.00	0.16	0
17	100	46	2026	1196	53	0.00	0.00	0.16	0
18	100	51	2246	1326	48	0.00	0.00	0.23	0
19	100	101	4446	2626	73	0.00	0.00	0.51	0
20	100	151	6646	3926	76	0.00	0.00	3.33	0
21	100	201	8846	5226	93	0.00	0.00	1.49	0

Experiment	Optimum time ($5N$ terms)		Optimum weight ($16N$ terms)		Lexic. order cost time weight		Lexic. order weight time cost	
	Optimization Time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout
1	0.01	0	0.02	0	0.03	0	0.03	0
2	0.01	0	0.06	0	0.04	0	0.08	0
3	0.01	0	0.07	0	0.04	0	0.11	0
4	0.02	0	0.56	0	0.07	0	0.67	0
5	0.03	0	1.51	0	0.14	0	1.69	0
6	0.03	0	0.45	0	0.11	0	0.69	0
7	0.27	0	284.79	31	0.71	0	557.00	36
8	0.13	0	852.66	80	0.92	0	705.92	85
9	0.17	0	–	–	47.55	9	–	–
10	1.23	0	–	–	111.58	28	–	–
11	11.87	0	–	–	35.31	56	–	–
12	104.67	0	–	–	–	–	–	–
13	455.20	51	–	–	–	–	–	–
14	–	–	–	–	–	–	–	–
15	–	–	–	–	–	–	–	–
16	–	–	–	–	–	–	–	–
17	–	–	–	–	–	–	–	–
18	–	–	–	–	–	–	–	–
19	–	–	–	–	–	–	–	–
20	–	–	–	–	–	–	–	–
21	–	–	–	–	–	–	–	–

Table 7 First group of experiments, $k = 8$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of rational variables	% Unrealizable	Solving time	Time for proving unrealizable	Optimum cost ($2N$ terms)	
								Optimization time	% Timeout
1	100	2	90	52	10	0.00	0.00	0.01	0
2	100	3	134	78	15	0.00	0.00	0.01	0
3	100	4	178	104	9	0.00	0.00	0.01	0
4	100	5	222	130	11	0.00	0.00	0.01	0
5	100	6	266	156	21	0.00	0.00	0.01	0
6	100	7	310	182	24	0.00	0.00	0.01	0
7	100	9	398	234	33	0.00	0.00	0.01	0
8	100	11	486	286	23	0.00	0.00	0.03	0
9	100	13	574	338	28	0.00	0.00	0.03	0
10	100	15	662	390	36	0.00	0.00	0.04	0
11	100	17	750	442	20	0.00	0.00	0.05	0
12	100	21	926	546	48	0.00	0.00	0.05	0
13	100	26	1146	676	43	0.00	0.00	0.06	0
14	100	31	1366	806	61	0.00	0.00	0.10	0
15	100	36	1586	936	67	0.00	0.00	0.23	0
16	100	41	1806	1066	71	0.00	0.00	0.39	0
17	100	46	2026	1196	77	0.00	0.00	0.17	0
18	100	51	2246	1326	75	0.00	0.00	0.17	0
19	100	101	4446	2626	98	0.00	0.00	1.47	0
20	100	151	6646	3926	97	0.00	0.00	40.11	0
21	100	201	8846	5226	100	0.00	0.00	–	–

Experiment	Optimum time ($5N$ terms)		Optimum weight ($16N$ terms)		Lexic. order cost time weight		Lexic. order weight time cost	
	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout	Optimization time	% Timeout
1	0.01	0	0.03	0	0.02	0	0.04	0
2	0.01	0	0.03	0	0.04	0	0.06	0
3	0.01	0	0.14	0	0.04	0	0.19	0
4	0.02	0	0.07	0	0.06	0	0.09	0
5	0.03	0	1.85	0	0.07	0	2.25	0
6	0.02	0	14.71	0	0.10	0	14.11	0
7	0.11	0	17.37	1	0.15	0	25.14	1
8	0.31	0	79.55	19	0.51	0	253.57	28
9	0.22	0	131.37	55	0.64	0	240.96	59
10	0.41	0	–	–	6.89	0	–	–
11	0.86	0	–	–	0.56	1	–	–
12	149.86	7	–	–	104.81	17	–	–
13	406.31	23	–	–	–	–	–	–
14	–	–	–	–	–	–	–	–
15	–	–	–	–	–	–	–	–
16	–	–	–	–	–	–	–	–
17	–	–	–	–	–	–	–	–
18	–	–	–	–	–	–	–	–
19	–	–	–	–	–	–	–	–
20	–	–	–	–	–	–	–	–
21	–	–	–	–	–	–	–	–

Fig. 17 First group of experiments, $k = 2$, median run times over solved instances

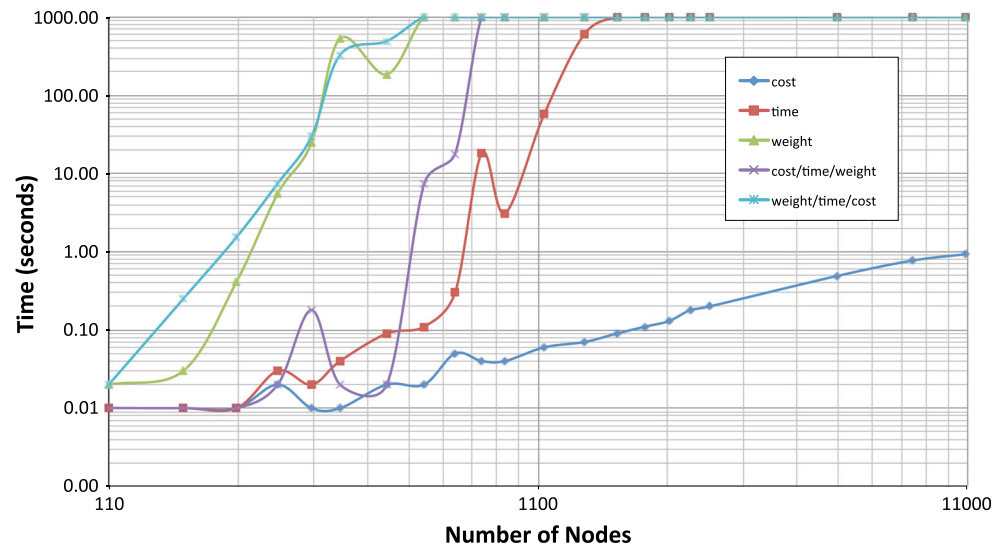


Fig. 18 First group of experiments, $k = 4$, median run times over solved instances

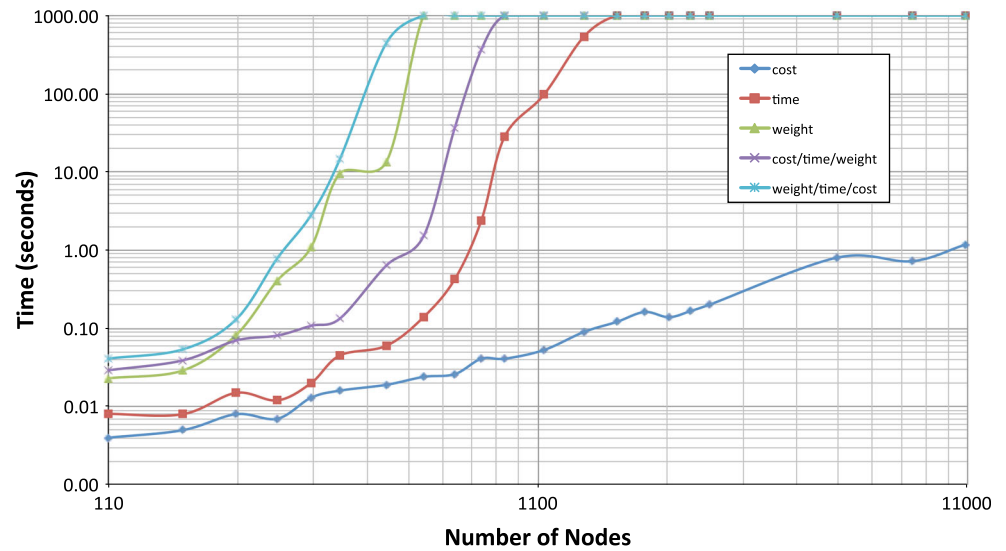


Fig. 19 First group of experiments, $k = 5$, median run times over solved instances

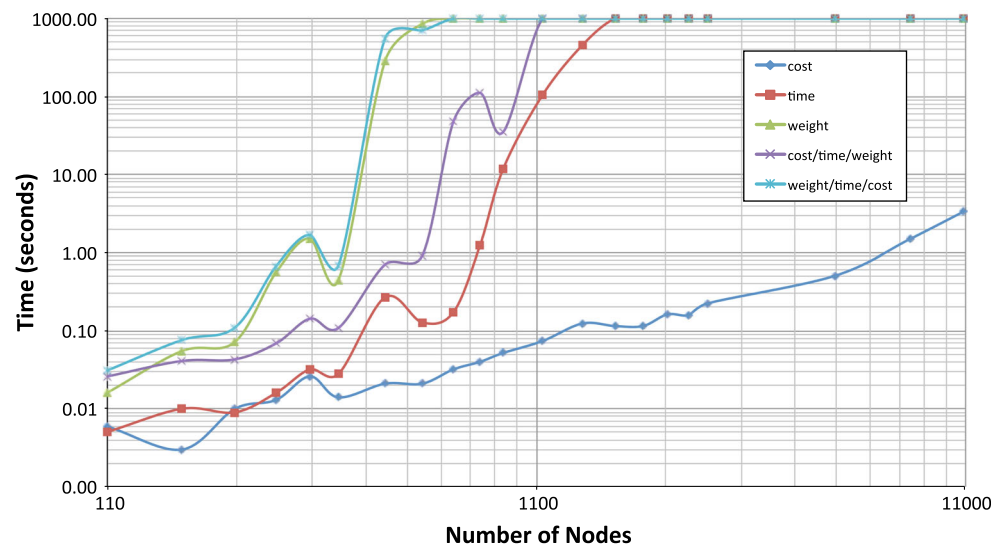
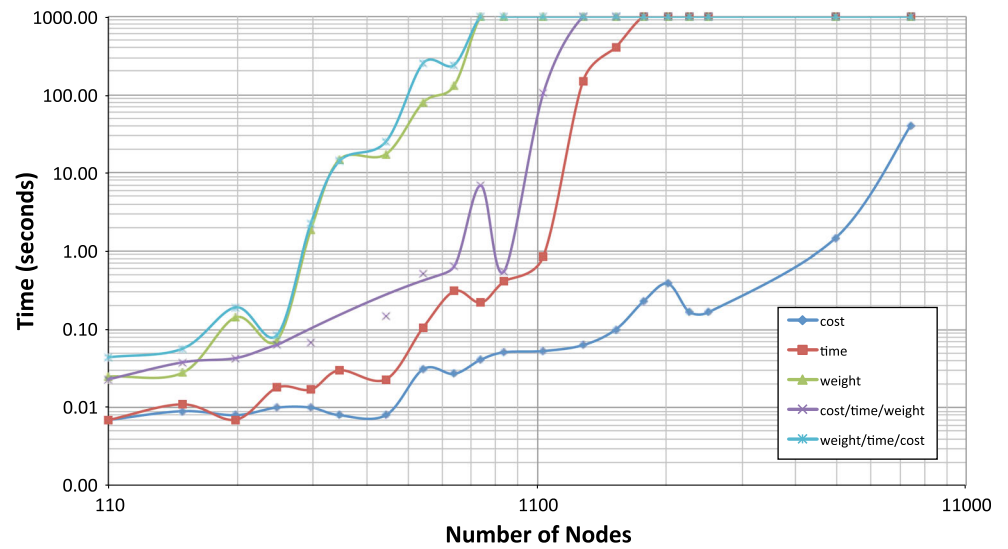


Fig. 20 First group of experiments, $k = 8$, median run times over solved instances



Second group of experiments

See Tables 8, 9, 10 and Figs. 21, 22, 23.

Table 8 Second group of experiments, $k = 2$, $p = 6$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of variables	Number of rational	%	Unrealizable	Solving time	Time for proving unrealizable	Lexic. order PRT		Lexic. order RPT	
										Optimization time	% Timeout	Optimization time	% Timeout
1	100	2	110	60	1	1	0.00	0.00	0.00	0.04	0	0.08	0
2	100	3	164	90	2	2	0.00	0.00	0.00	0.07	0	0.08	0
3	100	4	218	120	1	1	0.00	0.00	0.00	0.11	0	0.09	0
4	100	5	272	150	3	3	0.00	0.00	0.00	0.12	0	0.15	0
5	100	6	326	180	2	2	0.00	0.00	0.00	0.13	0	0.20	0
6	100	7	380	210	3	3	0.00	0.00	0.00	0.21	0	0.26	0
7	100	9	488	270	2	2	0.00	0.00	0.00	0.52	0	0.45	0
8	100	11	596	330	7	7	0.00	0.00	0.00	0.90	0	0.50	0
9	100	13	704	390	8	8	0.00	0.00	0.00	2.42	0	2.33	0
10	100	15	812	450	4	4	0.00	0.00	0.00	39.45	0	1.55	0
11	100	17	920	510	6	6	0.00	0.00	0.00	1.64	0	1.57	0
12	100	21	1136	630	7	7	0.00	0.00	0.00	694.50	52	468.88	20
13	100	26	1406	780	6	6	0.00	0.00	0.00	–	–	–	–
14	100	31	1676	930	14	14	0.00	0.00	0.00	–	–	–	–
15	100	36	1946	1080	15	15	0.00	0.00	0.00	–	–	–	–
16	100	41	2216	1230	19	19	0.00	0.00	0.00	–	–	–	–
17	100	46	2486	1380	16	16	0.00	0.00	0.00	–	–	–	–
18	100	51	2756	1530	27	27	0.00	0.00	0.00	–	–	–	–
19	100	101	5456	3030	33	33	0.00	0.00	0.00	–	–	–	–
20	100	151	8156	4530	46	46	0.00	0.00	0.00	–	–	–	–
21	100	201	10,856	6030	56	56	0.00	0.00	0.00	–	–	–	–

Table 9 Second group of experiments, $k = 2$, $p = 8$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of variables	Number of rational variables	% Unrealizable	Solving time	Time for proving unrealizable	Lexic. order PRT		Lexic. order RPT	
									Optimization time	% Timeout	Optimization time	% Timeout
1	100	2	110	60		0	0.00	0.00	0.06	0	0.07	0
2	100	3	164	90		1	0.00	0.00	0.08	0	0.08	0
3	100	4	218	120		0	0.00	0.00	0.18	0	0.09	0
4	100	5	272	150		2	0.00	0.00	0.18	0	0.14	0
5	100	6	326	180		1	0.00	0.00	0.36	0	0.18	0
6	100	7	380	210		2	0.00	0.00	0.21	0	0.20	0
7	100	9	488	270		6	0.00	0.00	0.28	0	0.30	0
8	100	11	596	330		4	0.00	0.00	0.61	0	0.47	0
9	100	13	704	390		6	0.00	0.00	0.73	0	0.53	0
10	100	15	812	450		12	0.00	0.00	1.38	0	0.69	0
11	100	17	920	510		6	0.00	0.00	1.81	0	0.99	0
12	100	21	1136	630		10	0.00	0.00	7.00	0	3.92	0
13	100	26	1406	780		11	0.00	0.00	330.39	10	9.38	1
14	100	31	1676	930		11	0.00	0.00	327.86	72	8.40	10
15	100	36	1946	1080		14	0.00	0.00	–	–	–	–
16	100	41	2216	1230		13	0.00	0.00	–	–	–	–
17	100	46	2486	1380		14	0.00	0.00	–	–	–	–
18	100	51	2756	1530		20	0.00	0.00	–	–	–	–
19	100	101	5456	3030		33	0.00	0.00	–	–	–	–
20	100	151	8156	4530		40	0.00	0.00	–	–	–	–
21	100	201	10,856	6030		59	0.00	0.00	–	–	–	–

Table 10 Second group of experiments, $k = 2$, $p = 12$: median time over solved instances

Experiment	Number of instances	Number of replicas (N)	Total number of nodes	Number of variables	Number of rational variables	Unrealizable %	Solving time	Time for proving unrealizable	Lexic. order PRT		Lexic. order RPT	
									Optimization time	% Timeout	Optimization time	% Timeout
1	100	2	110	60	1	1	0.00	0.00	0.06	0	0.06	0
2	100	3	164	90	0	0	0.00	0.00	0.09	0	0.07	0
3	100	4	218	120	0	0	0.00	0.00	0.13	0	0.12	0
4	100	5	272	150	0	0	0.00	0.00	0.15	0	0.17	0
5	100	6	326	180	0	0	0.00	0.00	0.25	0	0.20	0
6	100	7	380	210	0	0	0.00	0.00	0.39	0	0.30	0
7	100	9	488	270	0	0	0.00	0.00	0.43	0	0.49	0
8	100	11	596	330	0	0	0.00	0.00	0.81	0	0.56	0
9	100	13	704	390	1	1	0.00	0.00	1.15	0	0.89	0
10	100	15	812	450	1	1	0.00	0.00	1.32	0	0.37	0
11	100	17	920	510	2	2	0.00	0.00	14.66	0	1.97	0
12	100	21	1136	630	0	0	0.00	0.00	602.22	23	2.13	0
13	100	26	1406	780	2	2	0.00	0.00	911.26	87	905.11	9
14	100	31	1676	930	4	4	0.00	0.00	–	–	14.79	24
15	100	36	1946	1080	0	0	0.00	0.00	–	–	–	–
16	100	41	2216	1230	1	1	0.00	0.00	–	–	–	–
17	100	46	2486	1380	2	2	0.00	0.00	–	–	–	–
18	100	51	2756	1530	1	1	0.00	0.00	–	–	–	–
19	100	101	5456	3030	5	5	0.00	0.00	–	–	–	–
20	100	151	8156	4530	5	5	0.00	0.00	–	–	–	–
21	100	201	10,856	6030	10	10	0.00	0.00	–	–	–	–

Fig. 21 Second group of experiments, $k = 2$, $k = 6$, median run times over solved instances

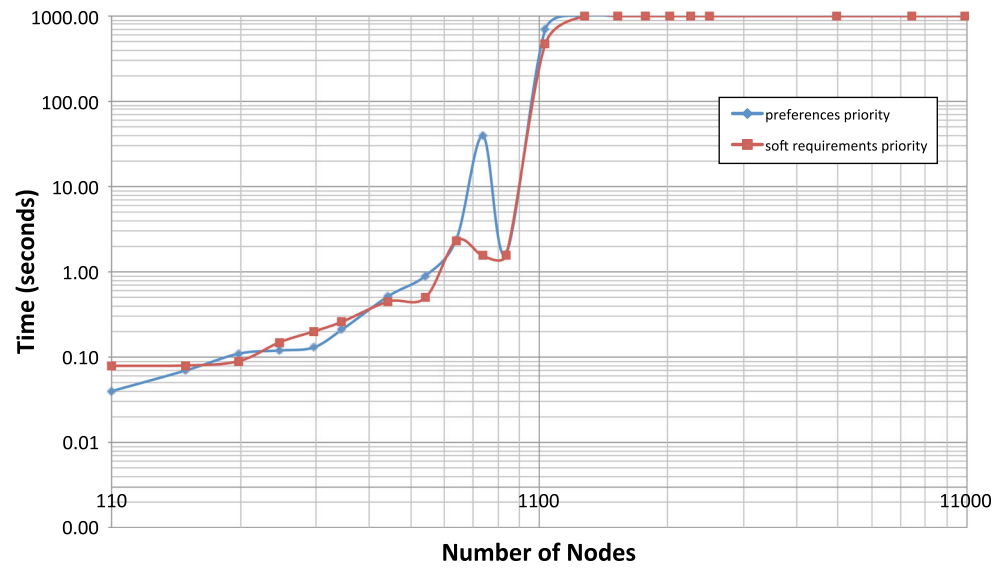


Fig. 22 Second group of experiments, $k = 2$, $k = 8$, median run times over solved instances

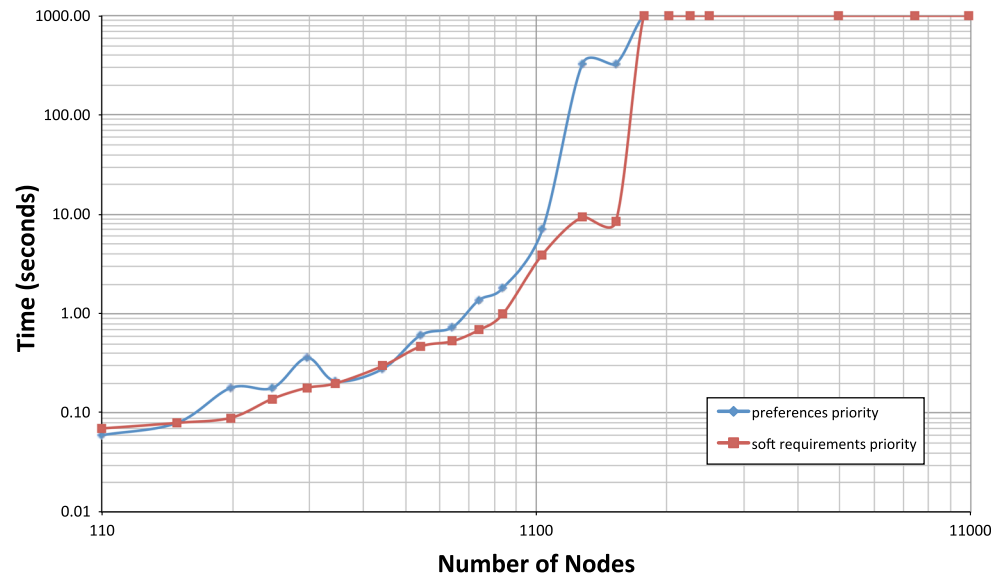
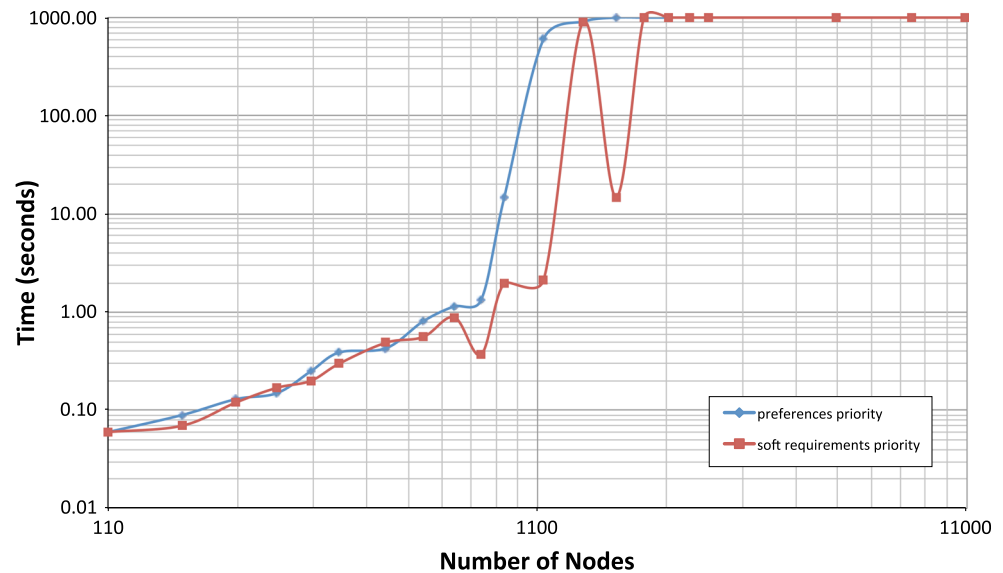


Fig. 23 Second group of experiments, $k = 2$, $k = 12$, median run times over solved instances



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